



# UML Application Guideline for Offshore Development

Ver. 3.0

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NPO UMTP

Offshore Software Development Working Group

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# 1. Purpose

## (1) How this guideline is positioned in the entire offshore development guideline

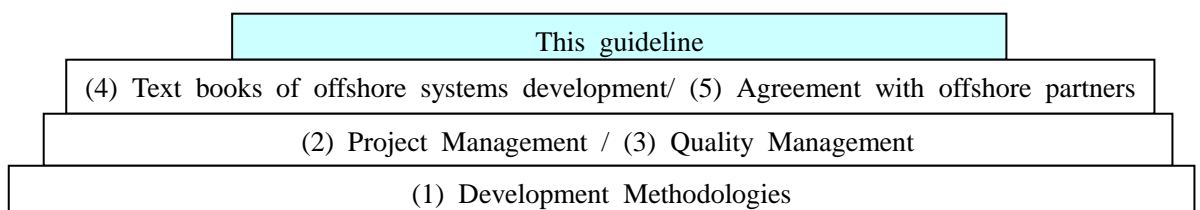
The offshore system development uses normal development cycles including requirement analysis, design, implementation, test and development methodology with each technical process, project management, budget planning and quality management technique the same as non-offshore local development. This guideline focuses on “offshore software development using UML” and demonstrates hints and tips based on practice and experience on offshore development and UML development.

The following explains how this guideline is positioned in the entire offshore systems development.

Offshore systems development requires the following factors.

- (1) Systems Development Methodology (Development processes, development procedures and development techniques)
- (2) Project Management (Management by such documents as project management documents)
- (3) Quality Management (Management by such documents as quality management documents)
- (4) Text books of offshore systems development business (Case study books of offshore systems development available in book stores, mail magazines of offshore systems development, unique expertise and know-how of the companies involved, and so on)
- (5) Agreements with the offshore partners (Statement of works of each party, project schedules, deliverable and prices)

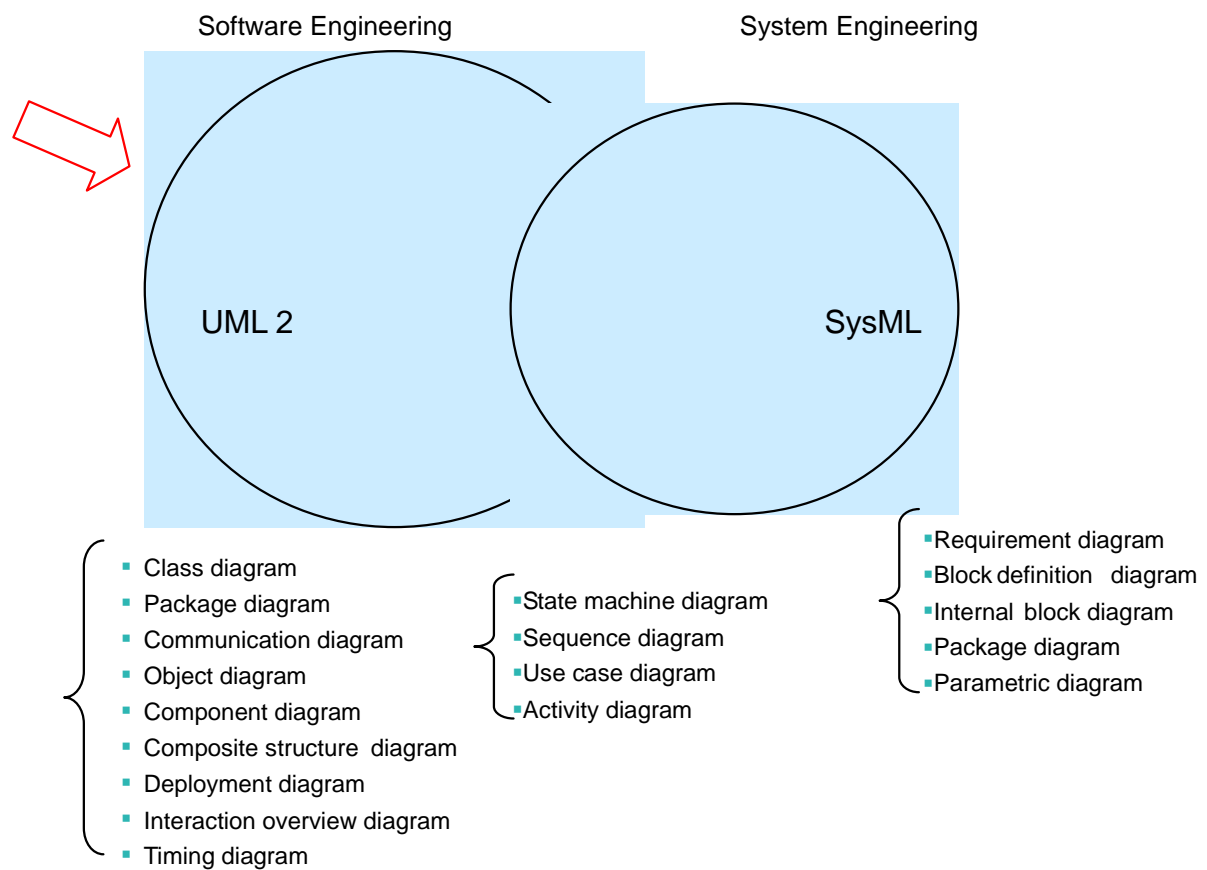
Systems development projects typically require (1) through (3) above. Offshore systems developments further require (4) and (5). Participating companies need to prepare (1) through (5) prior to the project start. This guideline is to describe some common development options, development procedures and relevant artifacts by primarily addressing to the modeling technology and is to supplement (1) through (5) as proven know-how. This document is written based on the assumption that participating companies has prepared all (1) through (5), and is intended to improve performance of offshore systems development by using it as supplemental information. Accordingly, this guideline does not address such company specific issues as scope of work, work procedures and so forth.



## (2) What this guideline covers

This guideline covers the following areas.

“Systems Development”, in broad sense, can be categorized to software engineering using “UML2” and to system engineering using “SysML”. This guideline describes output of consideration focused on software engineering.



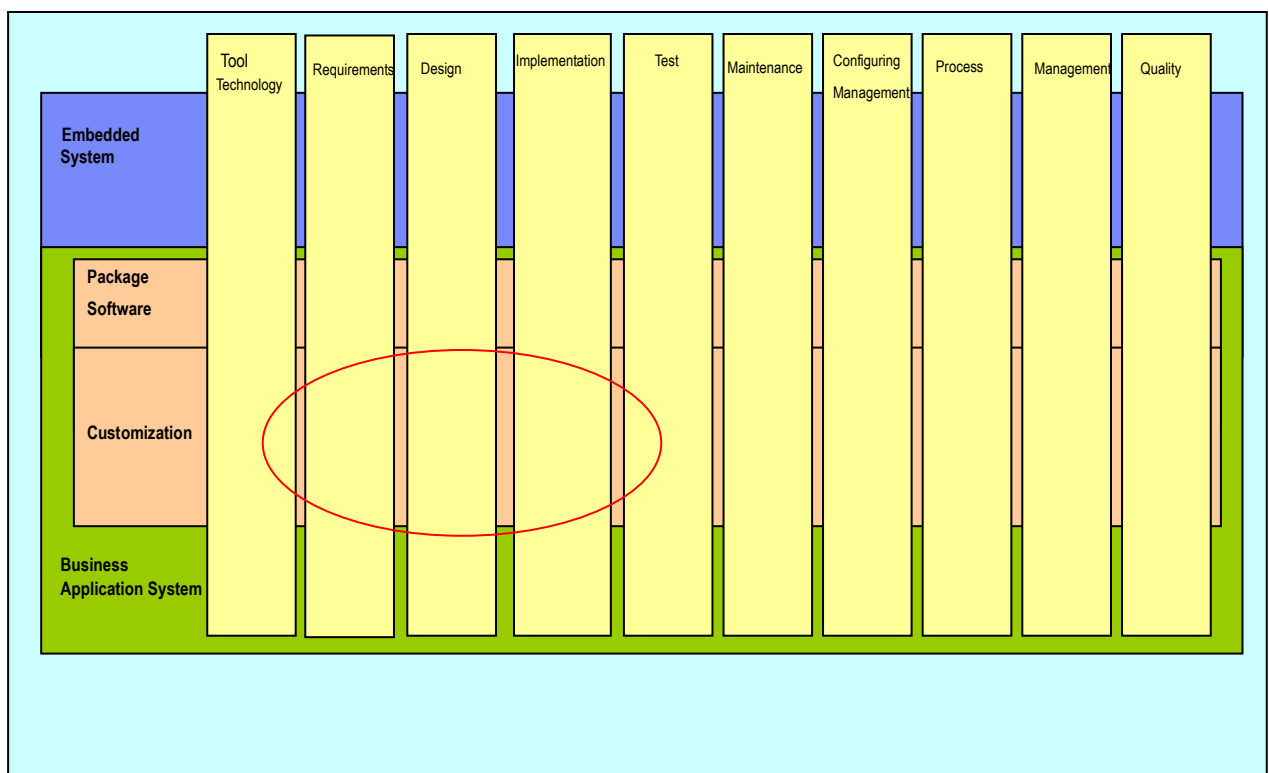
Here are some details of Software Engineering.

Software engineering includes;

- Development technique elements on each process development cycle such as requirement – design – test – maintenance
- Product supporting elements such as tools, development methods, and configuring procedures
- Project maintenance elements such as development processes and budget planning
- Quality maintenance elements such as specification guide and program manuals

Software for engineering includes 1) embedded system software and 2) business application software. The business application has 2a) limited customized design/implementation case within the product specification by using a software package and 2b) large custom development case with new design/implementation although it is difficult to have application package.

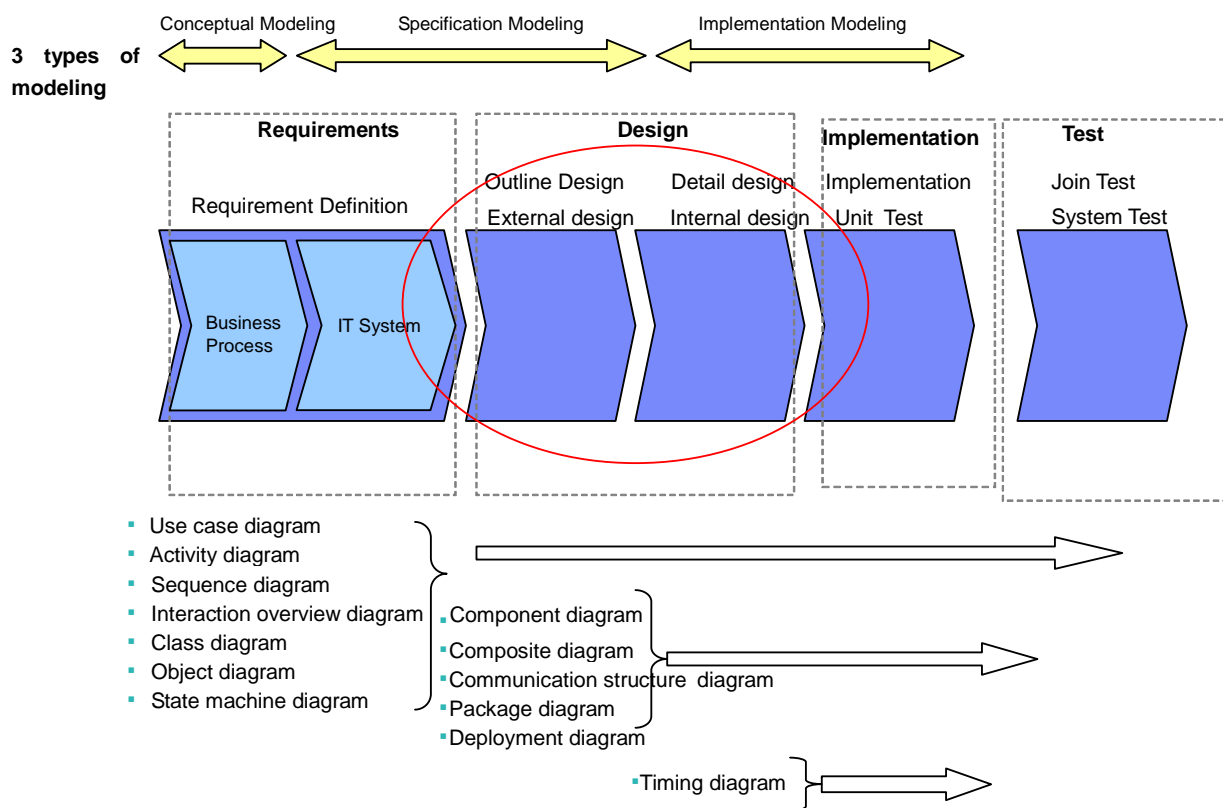
This guideline gives hints and tips about each of the process development cycle elements, especially custom business application software development.



Further, here are some details of each systems development cycle.

Development cycle goes through requirement definition from final requirements, design, implementation, and test. UML is used from IT system requirement definition stage for business process until the implementation stage starting programming. In other words, the requirement definition and design specification are described in UML. The specification in UML is delivered to offshore members who implement the software based on the UML.

This guideline describes the specification in UML for requirement definition and external/internal design. From the modeling point of view, specification modeling and implementation modeling are covered by this guideline also.



This guideline has used requirement definition, external design, internal design, and implementation. However, please refer to the following concepts being described in the next parts.

- Business analysis
- Requirement analysis
- System analysis
- Architecture design
- Detailed design
- Implementation and Unit Test
- Join Test

The offshore development is described as follows in the UML process mentioned above.

In this guideline, offshore means from internal design (detailed design) to implementation after completing external design (architecture design).

In the point of the developers, the issues are;

“What specification, and how, are drawn up in UML for offshore members?”

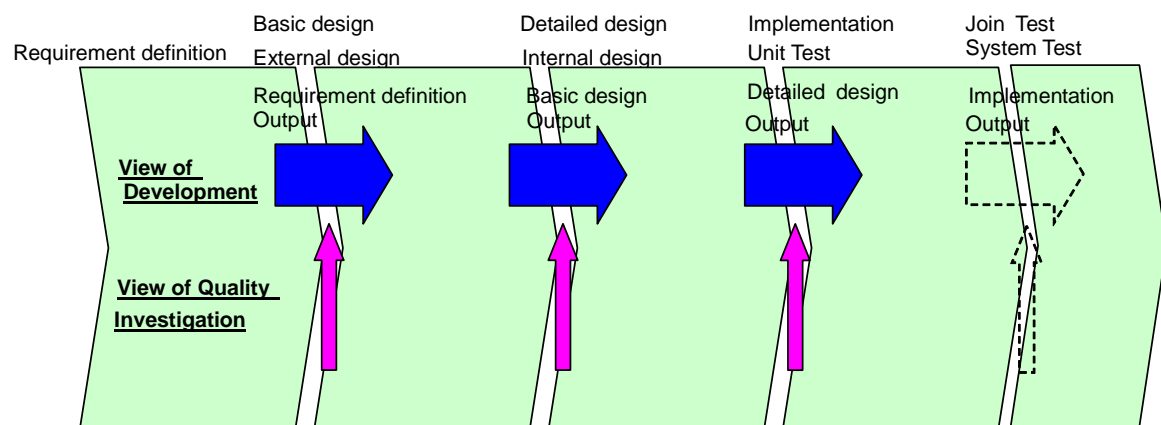
“What are the main features for offshore development?”

Also, the offshore development results are investigated in the following points.

“What factors are necessary to check after offshore development?”

“Which aspects should be satisfied with the offshore development?”

In particular, this guideline gives the answers to the questions above.



|                                     |          | Requirement definition<br>→ Basic Design | Basic Design<br>→ Detailed Design      | Detailed Design<br>→ Implementation | Implementation →<br>Join Test/System Test |
|-------------------------------------|----------|--|--|-------------------------------------|---|
| View of<br>Developers               | Japan    | How to use/make?                         | What is to be given?                   | —                                   |   |
|                                     | Offshore | —  | What is to be received?                | —                                   |   |
| View of<br>Quality<br>Investigation | Japan    | What elements to check?                  | Level of contents/level to be achieved | What elements to check?             |   |
|                                     | Offshore | —  | What is to be received                 | What elements to check?             |   |

The subject of each process has been explained. This guideline will demonstrate mainly two subjects. Firstly, it will assume the ‘Offshore internal design ~ implementation’ which is the typical pattern of offshore development and show the requirement definition and the design summary produced by the Japanese project team and how to present them to the offshore team members.

Secondly, it will describe the development output verification of both the Japanese project team and the offshore team.

The subject scope is described in other ways as follows.

The development process is to analyze requirements, design appropriate systems, send the specification to offshore, develop program based on the specification, and test the quality.

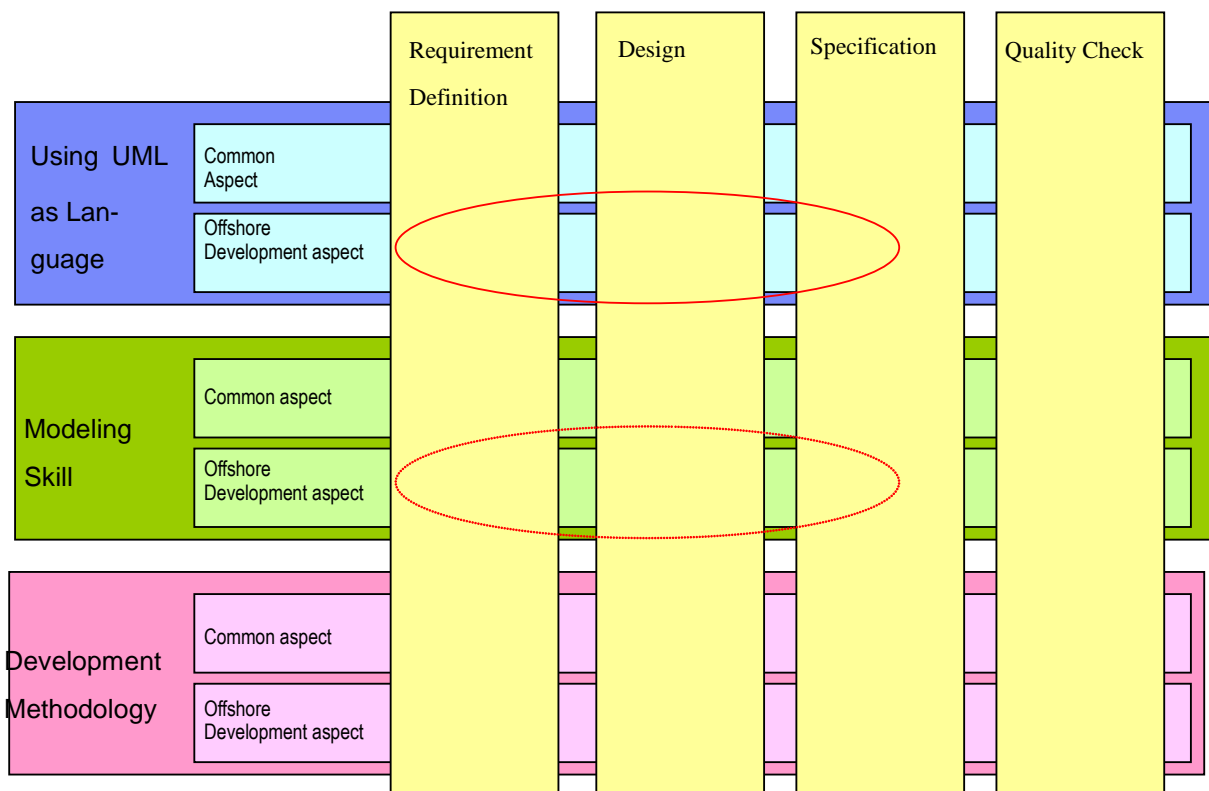
The relevant points from the above development process are;

- Offshore development has some similarities and some differences with local development. Therefore, the following peculiar cases should be considered.
- Techniques and know-how in case of using UML as a language
- Techniques and know-how in case of modeling
- Techniques and know-how of development methods as an intellectual property based on experience

This guideline is limited to describing “Requirements, specification, and test issues in offshore development using UML”. Therefore, it does not explain how to use UML, modeling guide, or detailed development methods.

The readers of this guideline are supposed to already understand UML, modeling, development method, and software development elements. Please apply your knowledge to this guideline.

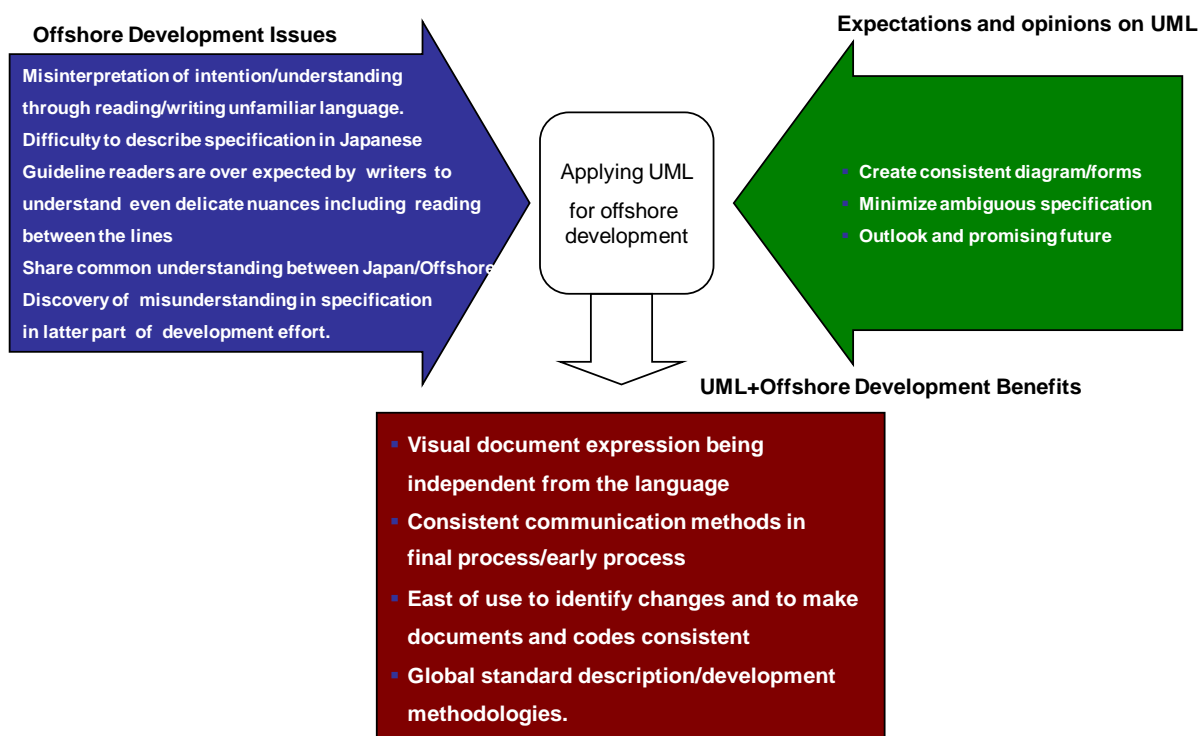
(This guideline does not aim 「to explain UML, modeling, development method, quality management, or project management or to explain know-how of offshore software development unless they are part of the offshore development scope.)





## 2. Offshore Development Issues and Solutions

We had a survey targeting the offshore development clients. The survey covered offshore development issues, outlook and opinions about UML, and the benefits from offshore development using UML. The result of the survey is as shown below. Most of the developers have high expectations of UML and believe that it will ameliorate many issues.



### (1) Overview of Questionnaire

#### <Questionnaire to Owners (Japan)>

Target: UMTF member companies and their group companies

Date of Survey: November 2006

Qualified Response: 70

(Primarily from PM/PL. Ave. years of experience: 16.2 Offshore years of experience: 2.2)

#### <Questionnaire to Contractor (offshore party)>

Target: Companies in China of which UMTF member companies and their group companies contract with.

Date of Survey: March 2007

Qualified Response: 91 (Primarily from PM/PL. Ave. years of experience: 4.4)

\* China was a chosen targeted country for this particular survey because it is assumed that most of the offshore partnering country is China for Japanese companies.

## (2) Extract of the questionnaire response

We introduce the outcome of questionnaire by extracting specific questions regarding issues in offshore development, expectation and comments to UML, and advantages using UML for offshore development.

### • Offshore development issues

Table 2.1.1 Offshore development issues and how serious these issues are – Owner (Japan)

|    |  | Extremely serious | Very serious | Serious | A little serious | Not serious | More than "serious" (%) |
|----|--|-------------------|--------------|---------|------------------|-------------|-------------------------|
| 1  | Different language/culture causes misunderstanding.  | 4                 | 19           | 14      | 13               | 10          | 38                      |
| 2  | It takes a long time to communicate exactly with offshore partners.                                      | 1                 | 15           | 16      | 18               | 10          | 27                      |
| 3  | Ambiguous specification description causes misunderstanding.   | 1                 | 11           | 14      | 22               | 13          | 20                      |
| 4  | Discrepancy between the original specification and the source code provided by the offshore could happen | 4                 | 17           | 21      | 14               | 4           | 35                      |
| 5  | Some specification can be missing.   | 2                 | 13           | 19      | 16               | 10          | 25                      |
| 6  | Too much time can be spent fielding questions from the offshore company.                                 | 6                 | 19           | 19      | 13               | 2           | 42                      |
| 7  | Serious quality issues can appear during the test phase.   | 3                 | 14           | 11      | 26               | 6           | 28                      |
| 8  | It is difficult to describe specification in details.  | 3                 | 14           | 22      | 14               | 8           | 28                      |
| 9  | Test from offshore partner can be unreliable.  | 5                 | 13           | 14      | 22               | 6           | 30                      |
| 10 | Offshore partner's resignation can cause unreliable project.   | 12                | 22           | 13      | 9                | 3           | 58                      |
| 11 | Debugging lead time can be long if offshore partners do maintenance.                                     | 11                | 23           | 16      | 7                | 2           | 58                      |
| 12 | Efficient information sharing is difficult with offshore partners.                                       | 7                 | 27           | 17      | 6                | 2           | 58                      |

Table 2.1.2 Offshore development issues and how serious these issues are – Offshore partner

(Remarks: Numbers of questionnaires are not sequential because some questions are not identical to the questionnaires to Owner.)

|    |   | Extremely Serious | Very Serious | Serious | A little serious | Not serious | More than "serious" (%) |
|----|---|-------------------|--------------|---------|------------------|-------------|-------------------------|
| 1  | Different language/culture causes misunderstanding  | 6                 | 7            | 11      | 31               | 38          | 14                      |
| 2  | It takes too much time to communicate with the Owner (Japan)  | 2                 | 6            | 14      | 32               | 37          | 9                       |
| 3  | Ambiguous specification causes misunderstanding   | 9                 | 28           | 24      | 28               | 2           | 41                      |
| 4  | Discrepancy between the original specification and the source code provided by the offshore could   | 13                | 12           | 10      | 27               | 28          | 28                      |
| 5  | There are missed description in specifications  | 12                | 23           | 33      | 19               | 4           | 38                      |
| 12 | Can not share information effectively with Owner based on the same understanding and interpretation | 7                 | 27           | 17      | 6                | 2           | 58                      |
| 13 | Communication between project members are not satisfactory  | 4                 | 15           | 16      | 23               | 32          | 21                      |

It is now understood that both Owners and Offshore parties recognize #12, which is “cannot share information effectively based on the same understanding”, a serious problem. Offshore parties believe it is because specifications provided by Owners are ambiguous, which is shown in #3. Owners, however, do not recognize it a problem. It is assumed that Owners have difficulties to communicate with offshore parties fully by their system specifications and Owners end up spending too much time responding so many questions from offshore parties, which is shown in #6.

## Solution to the offshore development issues

It is recognized that 74% of people, shown in table 2.2, are using not just UML but any diagrams and charts to review status or write specifications to avoid such issues as that Owners and Offshore parties could not communicate effectively based on the same understanding indicated in #12 in the table on the previous page. It is interpreted that most of the developers using diagrams and charts actively.

Table 2.2 Percentage of using UML or other diagrams in review process, specifications – Owner (Japan)

|     | Case   | Average (%) |     |
|-----|--|-------------|-----|
| (a) | UML (at least a diagram) is used.                                    | 19%         | 74% |
| (b) | Same diagram format excluding UML is used in organization.           | 38%         |     |
| (c) | Identified diagram format is used personally - no (a) and (b) cases. | 17%         |     |
| (d) | Random various diagram formats are used.                             | 14%         |     |
| (e) | Only characters but not diagrams are used.                           | 5%          |     |
| (f) | No written format is used.   | 3%          |     |
| (g) | Others.  | 4%          |     |

Further, another survey shown below explains how UML is recognized. It seems that UML is recognized that it is more specific and clear than other diagrams and charts.

Table 2.3.1 Expectations and Comments to UML – Owner (Japan)

|    | Comments   | Totally Disagree | Rather Disagree | Neutral | Rather Agree | Totally Agree | % of "Agree" |
|----|--|------------------|-----------------|---------|--------------|---------------|--------------|
| 1  | UML should only be known by those who get involved in high level system design | 29               | 28              | 8       | 2            | 0             | 3            |
| 2  | UML is not much help to reduce cost  | 3                | 9               | 28      | 21           | 6             | 40           |
| 3  | UML expertise are not there around us  | 10               | 17              | 9       | 22           | 9             | 46           |
| 4  | UML training is a tough part. It costs us a lot, as well                       | 4                | 10              | 17      | 31           | 5             | 54           |
| 5  | UML increases productivity   | 1                | 4               | 38      | 19           | 5             | 36           |
| 6  | UML reduces reversal processes in programming phase                            | 2                | 5               | 30      | 24           | 6             | 45           |
| 7  | UML brings more quality  | 2                | 4               | 27      | 29           | 5             | 51           |
| 8  | UML is too professional and is difficult to use                                | 9                | 22              | 24      | 10           | 2             | 18           |
| 9  | UML makes difficult to quote volume of work and cost                           | 5                | 20              | 32      | 8            | 2             | 15           |
| 10 | UML makes project management difficult   | 6                | 20              | 37      | 4            | 0             | 6            |
| 11 | UML makes specifications less ambiguous  | 1                | 2               | 18      | 37           | 9             | 69           |
| 12 | UML makes easier to understand how changes impacts the other areas             | 2                | 6               | 17      | 32           | 10            | 63           |
| 13 | UML helps better and more effective communication in review process            | 2                | 4               | 15      | 35           | 11            | 69           |
| 14 | UML creates mess in development processes                                      | 12               | 29              | 20      | 6            | 0             | 9            |
| 15 | There are more suitable tools in high-level design phase rather than UML       | 5                | 15              | 36      | 9            | 2             | 16           |
| 16 | UML accelerate communications between Owners and Offshore partners             | 2                | 3               | 30      | 23           | 9             | 48           |
| 17 | UML reduces cost in maintenance  | 1                | 13              | 36      | 12           | 5             | 25           |
| 18 | UML is effective to automate development process                               | 3                | 15              | 26      | 18           | 5             | 34           |
| 19 | UML is more used in offshore development rather than in Japan                  | 3                | 6               | 42      | 12           | 3             | 23           |
| 20 | UML better matches with offshore development rather than development in Japan  | 4                | 6               | 29      | 24           | 3             | 41           |

**Table 2.3.2 Expectations and Comments to UML – Offshore Partner**

(Remarks: Numbers of questionnaires are not sequential because some questions are not identical to the questionnaires to Owner.)

|    | Comments  | Totally Disagree | Rather Disagree | Neutral | Rather Agree | Totally Agree | % of "Agree" |
|----|---|------------------|-----------------|---------|--------------|---------------|--------------|
| 1  | UML should only be known by Owners (Japan)                                    | 51               | 22              | 4       | 4            | 1             | 6            |
| 2  | UML is not much help to reduce cost   | 7                | 23              | 35      | 15           | 2             | 21           |
| 3  | UML expertise are not there around us   | 27               | 19              | 23      | 9            | 4             | 16           |
| 4  | It costs a lot to learn UML   | 4                | 18              | 26      | 27           | 6             | 41           |
| 5  | UML increases productivity  | 0                | 0               | 14      | 47           | 21            | 83           |
| 6  | UML reduces return processes from Owners                                      | 1                | 6               | 21      | 33           | 18            | 65           |
| 7  | UML brings more quality   | 0                | 1               | 26      | 36           | 19            | 67           |
| 8  | UML is too professional and is difficult to use                               | 10               | 21              | 20      | 23           | 8             | 38           |
| 9  | UML makes difficult to quote volume of work and cost                          | 4                | 32              | 39      | 7            | 0             | 9            |
| 10 | UML makes project management difficult  | 6                | 45              | 27      | 3            | 1             | 5            |
| 11 | UML makes specifications less ambiguous                                       | 1                | 3               | 12      | 45           | 21            | 80           |
| 12 | UML makes easier to understand how changes impacts the other areas            | 0                | 3               | 18      | 37           | 24            | 74           |
| 13 | UML helps better and more effective communication in review process           | 1                | 4               | 19      | 35           | 23            | 71           |
| 14 | UML creates mess in development processes                                     | 24               | 37              | 15      | 5            | 1             | 7            |
| 16 | UML accelerate communications between Owners and Offshore partners            | 0                | 3               | 21      | 40           | 17            | 70           |
| 18 | UML is effective to automate development process                              | 1                | 3               | 23      | 43           | 12            | 67           |
| 19 | UML is more used in offshore development rather than in                       | 2                | 12              | 44      | 20           | 4             | 29           |
| 20 | UML better matches with offshore development rather than development in Japan | 4                | 4               | 43      | 27           | 6             | 39           |
| 21 | There are many UML textbooks available  | 0                | 13              | 16      | 30           | 23            | 65           |
| 22 | UML helps to develop career and helps promotion                               | 1                | 4               | 25      | 28           | 24            | 63           |

Both Owners and Offshore Partners recognize such advantages as “#11, makes less ambiguous”, “#12, easier to understand how changes impact”, or “#13, helps effective communications in review process”. They both also believe that “#16 UML accelerate communications”, “#7 UML bring more quality”. It is also recognized that level of expectations of Offshore Partners to UML is very high. On the other hand, Owners recognize high training cost and UML is difficult to educate (#4) .

#### Benefits of UML being used for Offshore Development

**Table 2.4.1 Benefits of UML being used for Offshore Development – Owner (Japan)**

|   | Benefits   | Not important | Important | Very important | Weighted Total |
|---|--|---------------|-----------|----------------|----------------|
| ① | Global standard documentation  | 13            | 26        | 22             | 131            |
| ② | Visual documentation   | 10            | 26        | 24             | 134            |
| ③ | Client(User) friendly documentation  | 13            | 27        | 20             | 127            |
| ④ | Easy maintenance by using specification without program source code            | 14            | 31        | 15             | 121            |
| ⑤ | Seamless development through the entire process                                | 16            | 27        | 18             | 124            |
| ⑥ | Same tool can be used by offshore and local developments.                      | 9             | 21        | 30             | 141            |
| ⑦ | Identified communication tool is used from the beginning and ending processes. | 8             | 26        | 26             | 138            |
| ⑧ | Global standard development methods can be used.                               | 10            | 27        | 24             | 136            |
| ⑨ | Developed process can be reused.   | 15            | 28        | 17             | 122            |
| ⑩ | It does not have to rely on natural language.                                  | 13            | 30        | 17             | 124            |
| ⑪ | Specification and source code should be matched.                               | 8             | 31        | 21             | 133            |
| ⑫ | Offshore developer's language(ex. Chinese) can be used.                        | 24            | 22        | 14             | 110            |
| ⑬ | Quality can be assured.  | 13            | 21        | 26             | 133            |

**Table 2.4.2 Benefits of UML being used for Offshore Development – Owner (Japan)**

|   | Benefits   | Not<br>important | Important | Very<br>important | Weighted<br>Total |
|---|--|------------------|-----------|-------------------|-------------------|
| ① | Global standard documentation  | 7                | 41        | 43                | <b>218</b>        |
| ② | Visual documentation   | 5                | 44        | 42                | <b>219</b>        |
| ③ | Client(User) friendly documentation  | 3                | 43        | 45                | <b>224</b>        |
| ④ | Easy maintenance by using specification without program source code            | 5                | 43        | 43                | <b>220</b>        |
| ⑤ | Seamless development through the entire process                                | 2                | 48        | 41                | <b>221</b>        |
| ⑥ | Same tool can be used by offshore and local developments.                      | 17               | 42        | 32                | <b>197</b>        |
| ⑦ | Identified communication tool is used from the beginning and ending processes. | 7                | 39        | 58                | <b>259</b>        |
| ⑧ | Global standard development methods can be used.                               | 5                | 46        | 40                | <b>217</b>        |
| ⑨ | Developed process can be reused.   | 5                | 36        | 50                | <b>227</b>        |
| ⑩ | It does not have to rely on natural language.                                  | 10               | 53        | 28                | <b>200</b>        |
| ⑪ | Specification and source code should be matched.                               | 5                | 26        | 60                | <b>237</b>        |
| ⑫ | Owner's language (Japanese) can be used  | 5                | 35        | 51                | <b>228</b>        |
| ⑬ | Quality can be assured.  | 5                | 20        | 66                | <b>243</b>        |

Communication with Offshore Partners is very important in Offshore Development. Having said that, “#12, Other party’s language can be used” would be extremely important. Between China and Japan offshore relationship, Chinese offshore partners typically understand Japanese so that Owners do not recognize significant advantages using UML. However, Offshore Partner does recognize significant advantage using UML. It is assumed that most of high-level system design is done by Owners (Japan) and development effort is done by the contractors (Offshore Partners). In that sense, both parties recognize benefits of having common communication tool (#7).

Also, such unique benefits as “#2, Visual Documentation”, “#1, Global Standard Documentation”, “#8, Global Standard Development Methods” are all important in the offshore development.

Further, such recognition as “#11, consistency between source code and specification is successfully maintained” is supported by many people involved in the offshore development.

### (3) Voices and Comments

The following hearing process was implemented to support outcome of the questionnaire above. It is then confirmed that this particular guideline is convincing enough from Offshore Partners point of view, and that it could possibly be used for other offshore development partners’ country such as India.

<Hearing from PM/PL in China (partially done by written questionnaire format)>

Hearing Objective: To better understand the reality which many not be discovered by quantitative questionnaire survey.

Date: November 2007

<.Hearing from Architects in India>

Hearing Objective: To understand how UML is being used in US/India offshore relationships which is ahead of Japan/China offshore relationships

Date: March 2008

## 3. UML Modeling

### 3.1 UML Features

#### (1) What is UML?

UML (Unified Modeling Language) is a standard by the OMG (Object Management Group) to specify and make diagrams for software development.

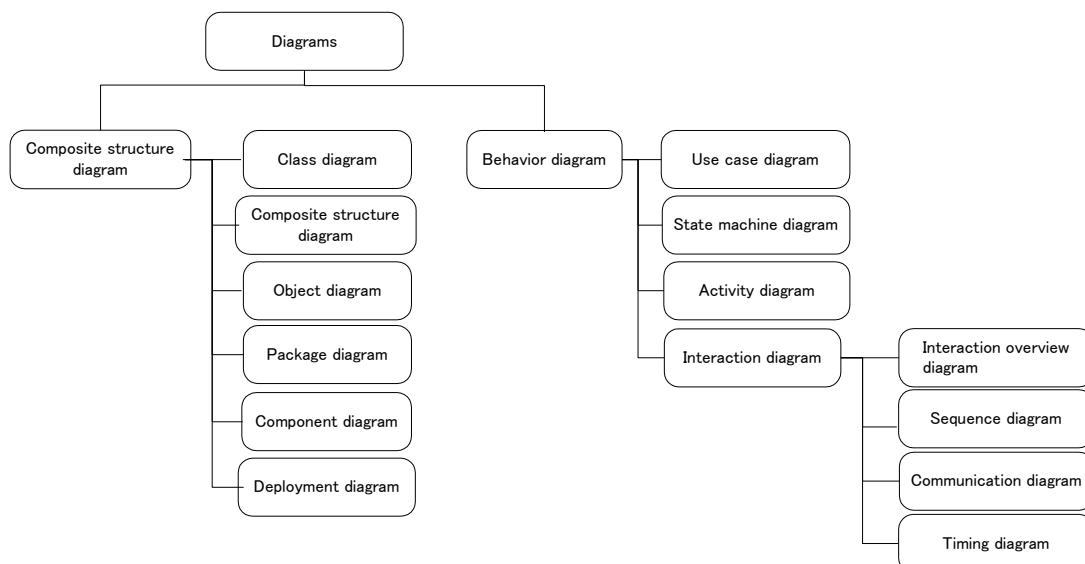
#### (2) UML Features

UML has the following features.

- Global standard.
  - Easy communication between countries and different projects.
- Easy to display and understand.
  - UML provides various diagrams to analyze and display designs in intuitive and easy to understand ways.
- Identified method through the entire development process.
  - Each development is traceable and communication between developers in each process is easy.

#### (3) UML Diagrams

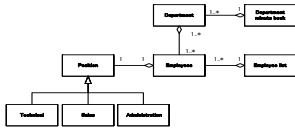
UML has various diagram types as shown below.



- Component structure diagrams

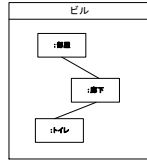
- Class diagram

Objects in analysis and design area are analyzed conceptually and displayed by class and the relationships.



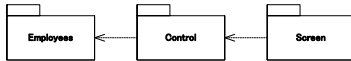
- Composite structure diagram

Internal structure of class or component is displayed by class.



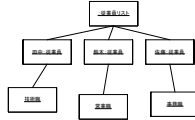
- Package diagram

Package relationships with UML elements are displayed.



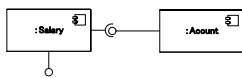
- Object diagram

A system state at a moment is displayed in object or the relationships.



- Component diagram

Software component (reusable) configuration is displayed.



- Deployment diagram

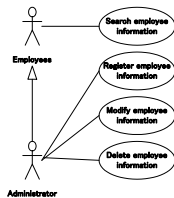
System running environment is displayed.



## Behavior diagrams

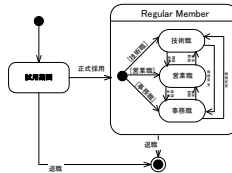
### ● Use case diagram

Functions and the external relationships provided by the system are displayed.



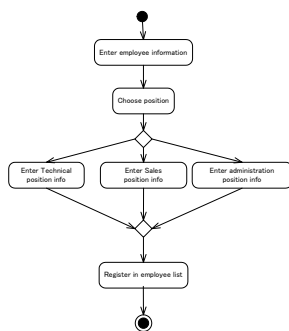
### ● State machine diagram

An object state change by time is displayed.



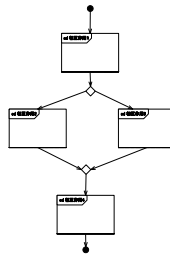
### ● Activity diagram

Business /event/algorithm flows are displayed.



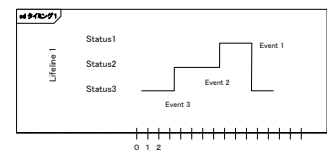
### ● Interaction overview diagram

Various interactivity relationships are displayed.



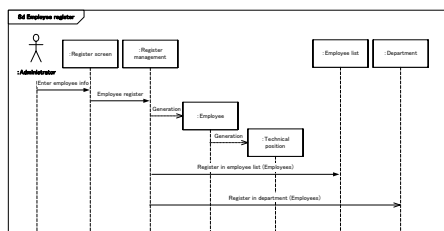
### ● Timing diagram

Lifeline changed by time and message exchange are displayed.



### ● Sequence diagram

Interaction (object or person exchange in analysis and design) is displayed by time.



### ● Communication diagram

Interaction (object or person exchange in analysis and design) is displayed by relationships with object or person.



son.



## 3.2 Required UML Modeling Skill Level

Both Offshore Owners and Offshore Partners need to maintain qualified level of UML modeling skill for them to maintain successful and effective offshore development relationship using UML. If this particular skill set is not available yet, required training and education are highly recommended prior to the offshore development project starts. It should also be understood that required UML modeling skill level would be varied from such positions as Analyst, Designer, Production Engineer, Architect, Project Manager and Project Leader.

UML Modeling skill level defined here in this section is only for UML Modeling, and it presumes that all such engineers as Analyst, Designer, Production Engineer, Architect, Project Manager and Project Leader have had respective technical skill required for such respective positions. Therefore, this particular section does not define any of these generic skill sets.

### <Objective>

It is expected that both Owners and Offshore Partners exchange information of artifact created by UML. If both parties have qualified UML modeling skill, communications between them will be successful by minimum effort of exchanging artifact. If, however, UML modeling skill developers are not enough, communication between Owners and Offshore Partners using artifact of specifications will not be accurate. It will further cause problems such as that Owners development engineers will need to explain what UML modeling is to Offshore Partners' engineers. This will be a waste of time and effort.

### <Details/Supplements>

➤ How to judge the skill level

UMTP defines modeling skill level from L1 through L4 as shown below.

|    | Modeling Skill  | Description   |
|----|---|---|
| L4 | Can train other engineers and help them to improve their modeling skill based on his/her own modeling expertise | Require L3 skill level. Also required qualified number of years modeling experience or number of projects experience in software development projects.  |
| L3 | Can implement UML models in the production environment  | Can provide high-quality models with scalability and ease of changes capabilities.<br>Has professional knowledge (professional files can be chosen) for business modeling, analysis, architectural design and embedded development. |
| L2 | Can write/read UML models without difficulties (qualified level of modeling literacy)                           | Can be involved in the modeling project being responsible for a part of the targeted development area.<br>Can interpret the models created by other engineers.  |
| L1 | Can interpret simple UML models   | Has minimum level of knowledge of modeling to create models by a technique such as UML modeling.  |

UMTP offers qualified tests for L1 and L2 levels (L3 test is expected to be available from April 2008). This test qualifies your skill level appropriately.

There are two levels of test for L1, L1-T1 and L1-T2.

Qualified levels are:

L1-T1: Understands how to write UML models.

L1-T2: Able to read UML models and successfully judge the quality of the model.

\* L1-T2 and L2 tests are available in China.

A real development project requires the following skill level depending on the roles.

Production Engineers: Create source code from UML models (level L1-T2).

Analysts: Create UML analytic models by himself/herself directed by senior analysts (Level L2)

Designer: Create UML design models by himself/herself by the architecture provided. (Level L2)

Senior Analyst: Create initial analytic model (Level L3)  
 Architect: Create architectural model (Level L3)  
 Project Leader: Review model analysis, design rules, and determine what to do (Level L3)  
 Project Manager: Highest level of project management (Level L1, L2)

|                     | L1-T1 | L1-T2 | L2           | L3  |
|---------------------|-------|-------|--------------|-----|
| Production Engineer | Yes   | Yes   | No           | No  |
| Analyst             | Yes   | Yes   | Yes          | No  |
| Senior Analyst      | Yes   | Yes   | Yes          | Yes |
| Designer            | Yes   | Yes   | Nice to have | No  |
| Architect           | Yes   | Yes   | Yes          | Yes |
| Project Leader      | Yes   | Yes   | Yes          | Yes |
| Project Manager     | Yes   | Yes   | Nice to have | No  |

- Skills Development
 

Getting familiar with UML (L1-T1) and learning entry level of modeling (L1-T2) can be done by a text book available in book stores. However, extra effort might be required for L2, which is to develop UML model without help. It requires specific training or mentoring to get to the point for better model development, and further requires practical modeling development experience for more comprehensive understanding.
  - Reference Information
 

UMTP Modeling Standard Based

Some text books available at book stores have levels as UMTP Modeling Standard Based. This indicates that UMTP verifies that these books follow UML Modeling terminologies (Ver.2). If successfully followed, UMTP authorizes them.

All UMTP Modeling Standard Based books can be found at the following URL.  
<http://www.umtp-japan.org/modules/data4/index.php?id=7>
  - Training Text Authorization
 

It is reviewed in detail during UMTP authorization process whether or not the training text follows UMTP standard terminologies (Ver.2) and whether or not the training text addresses knowledge required for UML modeling and is explained in detail. If successfully followed, UMTP authorizes it.

UMTP authorized training courses can be found at the following URL.  
<http://www.umtp-japan.org/modules/data4/index.php?id=8>
- < Relevant Information >
- Not available

## 4. UML Application Scope and Development Know-how (Hints & Tips)

According to the survey in the chapter 2, it is understood that most people recognize the advantage of using UML for offshore development. It is, however, very important in which status UML is applied because the effect is not immediate. This particular chapter explains know-how to succeed in offshore development for each process. It will be focused on UML but not limited to it.

Development flow and each process purpose, task, staff and output are described in figures 4-1 and Table 4-1 respectively. Figure 4.1 only shows the important artifacts. Detail of that should be referred to Table 4.1. Figure 4.1 also shows which tasks may require special attention in each step of development process (know-how) by point number.

The know-how will be described in the following formats.

- Point numbers and titles
- Contents
- Purpose
- Detailed description
- Relevant information

Besides, there is another category, which is “optional”(can be chosen depending on the situation), for know-hows that is not necessarily executed in the initial stage of offshore development although it is typically effective if all other conditions are satisfied.

Figure 4.1 Development workflow

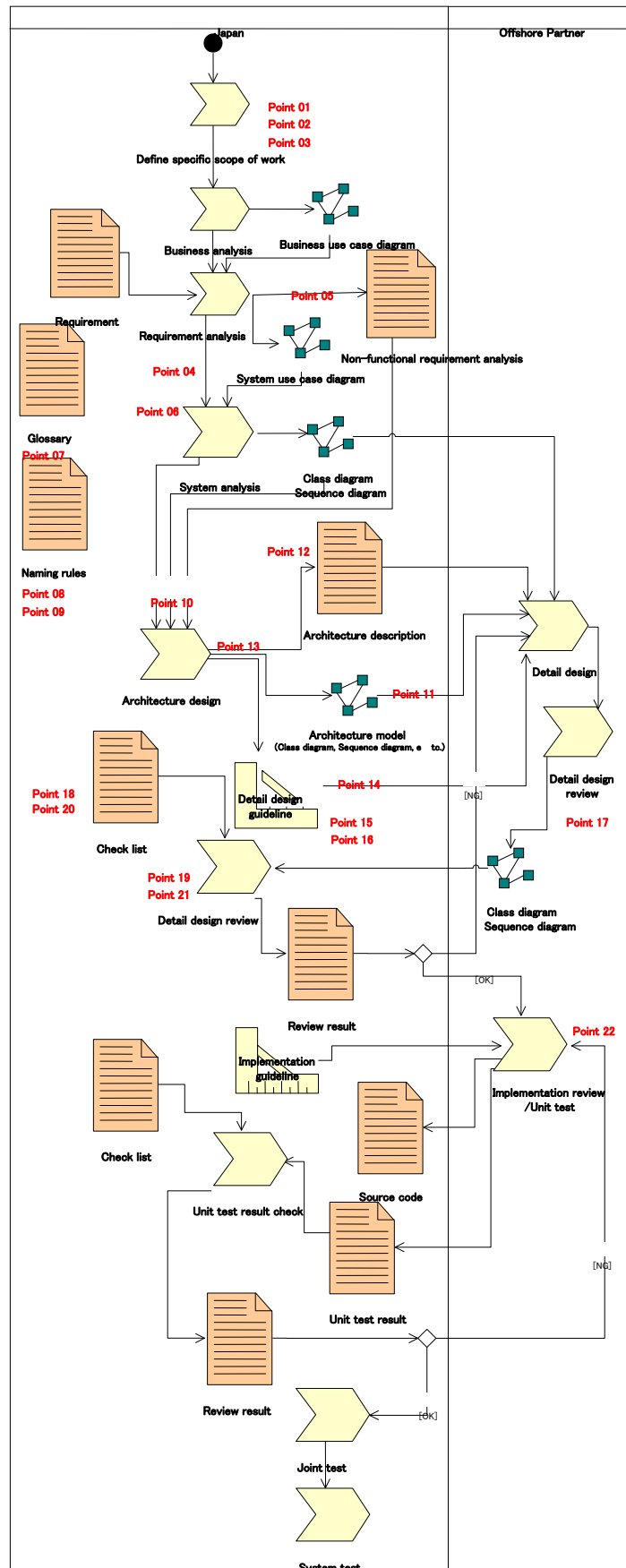


Table 4.1 Process description

| Process name Business Analysis  |   |
|---|---|
| <b>Purpose(Japan) :</b><br><br>Understand business flow of the system to be developed in detail and clarify it. This helps further clarify to define which particular part of the business process to be targeted for system development. | <b>Purpose(Offshore Partner) :</b><br><br>Understand targeted business process to be developed. This should support any successive processes to be moved on smoothly.       |
| <b>Task(Japan) :</b><br><br>Understand business process in detail and clarify it. Organize business flow once again to make sure if necessary.  | <b>Task(Offshore Partner) :</b><br><br>Understand targeted systems development scope and associated business processes. (in case participated in high-level system design). |
| <b>Developer(Japan) :</b><br><br>PM<br>Analyst  | <b>Developer(Offshore Partner) :</b><br>PM<br>System analyst<br>(if s/he participates in high-level system design phase)  |
| <b>Input required:</b><br><br>•Business flow  | <b>Input required :</b><br><br>•Business Use Case Diagram<br>•Business Flow<br>•Business Use Case Description<br>•Conceptual Class Diagram                                  |
| <b>Output :</b><br><br>•Business Use Case Diagram<br>•Business Flow<br>•Business Use Case Description<br>•Conceptual Class Diagram  | <b>Output :</b>   |
| <b>Reference Information</b><br>•Glossary<br>•Naming Rules  | <b>Reference Information</b><br>•Glossary<br>•Naming Rules  |

| Process name Requirement analysis   |   |
|---|---|
| <b>Purpose(Japan):</b><br><br>Verify the functional requirements and the non-functional requirements of the system.   | <b>Purpose(Offshore Partner):</b><br><br>The process assigned after detailed design is not using this process output directly. Understand system function as this system development object in this process output. Recognize the design purpose for the better communication after detailed design.  |
| <b>Task(Japan):</b><br><br>Verify the requirement of clients.   | <b>Task (Offshore Partner):</b><br><br>Refer to the output and understand the system function. (If participated in high-level design process.)  |
| <b>Developer(Japan):</b><br><br>Requirement analyst   | <b>Developer(Offshore Partner):</b><br><br>System analyst<br>Detail system analyst<br>(if participated in high-level design process.)   |
| <b>Input required :</b><br><br><ul style="list-style-type: none"> <li>• Business use case diagram</li> <li>• Business flow</li> <li>• Business use case description</li> <li>• Requirement Detail</li> </ul>  | <b>Input required :</b><br><br><ul style="list-style-type: none"> <li>• System use case diagram</li> <li>• System use case list</li> <li>• Business rule definition</li> <li>• System sequence diagram</li> <li>• System use case description</li> <li>• Screen transition diagram</li> <li>• Screen and design documentation</li> <li>• Non-functional requirement definition</li> </ul> |
| <b>Output :</b><br><br><ul style="list-style-type: none"> <li>• System use case diagram</li> <li>• System use case list</li> <li>• Business rule definition</li> <li>• System sequence diagram</li> <li>• System use case description</li> <li>• Screen transition diagram</li> <li>• Screen and design documentation</li> <li>• Non-functional requirement definition</li> </ul> | <b>Output :</b><br><br>   |
| <b>Reference Information</b><br><br><ul style="list-style-type: none"> <li>• Glossary</li> <li>• Naming Rules</li> </ul>  | <b>Reference Information</b><br><br><ul style="list-style-type: none"> <li>• Glossary</li> <li>• Naming Rules</li> </ul>  |

| Process name: System analysis  |   |
|--|---|
| <b>Purpose(Japan):</b><br><br>Analyze system development object domain in natural form and make model not relying on development and operation system to reuse and maintain.   | <b>Purpose(Offshore Partners):</b><br><br>The process assigned after detailed design is not using this process output directly. Aim to acquire system development object knowledge from this process output and understand the design purpose for better communication after detailed design. |
| <b>Task(Japan):</b><br><br>Define system context displayed brief system structure and relevance. Then, analyze system behavior with summary to understand system geographic dispersion or complex operation.   | <b>Task (Offshore Partners):</b><br><br>Refer to the output and understand the system development area. (If participated in high-level system design process)   |
| <b>Developer(Japan):</b><br><br>Requirement analyst  | <b>Developer (Offshore Partner):</b><br>System analyst<br>Detail system designer<br>(if participated in high-level system design process).  |
| <b>Input required :</b><br><br><ul style="list-style-type: none"> <li>• System use case diagram</li> <li>• System use case list</li> <li>• Business rule definition</li> <li>• System sequence diagram</li> <li>• System use case description</li> <li>• Screen transition diagram</li> <li>• Screen and design documentation</li> </ul> | <b>Input required :</b><br><br><ul style="list-style-type: none"> <li>• Analysis class diagram</li> <li>• Analysis sequence diagram</li> <li>• Object diagram</li> <li>( • Communication diagram)</li> <li>( • State machine diagram)</li> </ul>  |
| <b>Output :</b><br><br><ul style="list-style-type: none"> <li>• Analysis class diagram</li> <li>• Analysis sequence diagram</li> <li>• Object diagram</li> <li>( • Communication diagram)</li> <li>( • State machine diagram)</li> </ul>   | <b>Output :</b>   |
| <b>Reference Information</b><br><ul style="list-style-type: none"> <li>• Glossary</li> <li>• Naming Rules</li> </ul>   | <b>Reference Information</b><br><ul style="list-style-type: none"> <li>• Glossary</li> <li>• Naming Rules</li> </ul>  |

| Process name Architecture design  |  |
|---|--|
| Purpose(Japan):<br><br>Verify the fundamental system structure.   | Purpose (Offshore Partner):<br><br>Use as input to the detail design.  |
| Task(Japan):<br><br>Define a candidate architecture including reusable modeling elements based on analyzed result. Achieve architecture analysis in detail and distinguish class, subsystem and subsystem interfaces in component design and  | Task (Offshore Partner):<br><br>Refer to the output to detail design (If participated in high-level system design process).  |
| Developer(Japan):<br><br>Architect  | Developer (Offshore Partner):<br>Architect<br>Detail system designer<br>(If participated in high-level system design process.)   |
| Input required :<br><ul style="list-style-type: none"> <li>• Analysis class diagram</li> <li>• Analysis sequence diagram</li> <li>• Object diagram</li> <li>(• Communication diagram)</li> <li>(• State machine diagram)</li> <li>• Non-functional requirement definition</li> </ul>  | Input required :<br><ul style="list-style-type: none"> <li>• Architecture class diagram</li> <li>• Architecture sequence diagram</li> <li>• Object diagram</li> <li>• Package diagram</li> <li>• Component diagram</li> <li>• Deployment diagram</li> <li>• Architecture description</li> <li>• Detailed architecture guideline</li> <li>• Detailed architecture checklist</li> <li>• Data model diagram (E-R diagram)</li> <li>• Analysis class diagram</li> <li>• Analysis sequence diagram</li> </ul> |
| Output :<br><br><ul style="list-style-type: none"> <li>• Architecture class diagram</li> <li>• Architecture sequence diagram</li> <li>• Object diagram</li> <li>• Package diagram</li> <li>• Component diagram</li> <li>• Deployment diagram</li> <li>• Architecture description</li> <li>• Detailed architecture guideline</li> <li>• Detailed architecture checklist</li> <li>• Data model diagram (E-R diagram)</li> </ul> | Output :   |
| Reference Information<br><ul style="list-style-type: none"> <li>• Glossary</li> <li>• Naming Rules</li> </ul>   | Reference Information<br><ul style="list-style-type: none"> <li>• Glossary</li> <li>• Naming Rules</li> </ul>  |



| Process name: Detail Design   |  |
|---|--|
| Purpose(Japan):<br><br>Assign the large tasks to China.   | Purpose (Offshore Partner):<br><br>Detail the model to implementation  |
| Task(Japan):<br><br>Verify the task from China is within the architecture scope.  | Purpose (Offshore Partner):<br><br>Input the architecture model and description to control subsystems and detail the implementation model.   |
| Developer(Japan):<br><br>Reviewer   | Developer (Offshore Partner):<br><br>Architect<br>(if participated in high-level system design process).   |
| Input required :<br><br><ul style="list-style-type: none"> <li>•Detailed architecture class diagram</li> <li>•Detailed architecture sequence diagram</li> <li>(•Object diagram)</li> <li>•Detailed architecture review result (Offshore Partner)</li> </ul> | Input required :<br><br><ul style="list-style-type: none"> <li>•Architecture class diagram</li> <li>•Architecture sequence diagram</li> <li>•Object diagram</li> <li>•Package diagram</li> <li>•Component diagram</li> <li>•Deployment diagram</li> <li>•Architecture description</li> <li>•Detailed architecture guideline</li> <li>•Detailed architecture checklist</li> <li>•Analysys case diagram</li> <li>•Analysys sequence diagram</li> </ul> |
| Output :<br><br><ul style="list-style-type: none"> <li>•Detailed architecture review result (Japan)</li> </ul>  | Output :<br><br><ul style="list-style-type: none"> <li>•Architecture class diagram</li> <li>•Architecture sequence diagram</li> <li>(•Object diagram)</li> <li>•Detailed architecture review result (Offshore Partner)</li> </ul>  |
| Reference Information<br><ul style="list-style-type: none"> <li>•Glossary</li> <li>•Naming Rules</li> </ul>   | Reference Information<br><ul style="list-style-type: none"> <li>•Glossary</li> <li>•Naming Rules</li> </ul>  |

| Process name Implementation/Review/Unit Test  |  |
|---|--|
| Purpose(Japan):<br><br>Assign the large tasks to Offshore Partner.                                  | Purpose(Offshore Partner):<br><br>Build the source program which runs the system.                            |
| Task(Japan):<br><br>Review test status as required.   | Task (Offshore Partner):<br><br>Make implementation and individual test based on the detail design output.   |
| Developer(Japan):<br><br>Reviewer   | Developer (Offshore Partner):<br><br>Person in charge of implementation                                      |
| Input required :<br><br>•Unit test result   | Input required :<br><br>•Detail design class diagram<br>•Detail design sequence diagram<br>(•Object diagram) |
| Output :<br><br>•Unit test review result  | Output :<br><br>•Source program<br>•Each test result   |
| Reference Information<br><br>•Glossary<br>•Naming Rules<br>•Implementation Guideline<br>•Check List | Reference Information<br><br>•Glossary<br>•Naming Rules<br>•Implementation Guideline<br>•Check List          |

| Process name: Unit Test Result Review   |   |
|---|---|
| Purpose(Japan):   | Purpose (Offshore Partner):   |
| Task(Japan):<br><br>Review unit test result.  | Task (Offshore Partner):<br><br>Revisit unit test result as required.                                   |
| Developer(Japan):<br><br>Reviewer   | Developer (Offshore Partner):<br><br>Person in charge of implementation                                 |
| Input required :<br><br>• Unit test result  | Input required :<br><br>• Review result   |
| Output :<br><br>• Review result   | Output :<br><br>• Unit test result  |
| Reference Information<br><br>• Glossary<br>• Naming Rules<br>• Implementation Guideline<br>• Check List | Reference Information<br><br>• Glossary<br>• Naming Rules<br>• Implementation Guideline<br>• Check List |

| Process name : Join Test   |  |
|--|--|
| Purpose(Japan):<br><br>Perform join test on the source program through the Unit Tests.                                 | Purpose (Offshore Partner):<br><br>Improve source program quality.   |
| Task(Japan):<br><br>Perform Join Test.   | Task (Offshore Partner):<br><br>Fix bugs in the detail design and implementation.  |
| Developer(Japan):<br><br>Tester  | Developer (Offshore Partner):<br><br>Person in charge of detail design<br>Person in charge of implementation   |
| Input required :<br><br><ul style="list-style-type: none"> <li>• Source program</li> <li>• Each test result</li> </ul> | Input required :<br><br><ul style="list-style-type: none"> <li>• Detail Design class diagram</li> <li>• Detail Design sequence diagram</li> <li>• Source program</li> <li>• Bug list</li> </ul>              |
| Output :<br><br><ul style="list-style-type: none"> <li>• Join test result</li> </ul>                                   | Output :<br><br><ul style="list-style-type: none"> <li>• Detail Design class diagram</li> <li>• Detail Design sequence diagram</li> <li>• Source program</li> <li>• Bug list</li> <li>• Checklist</li> </ul> |
| Reference Information<br><br><ul style="list-style-type: none"> <li>• Glossary</li> <li>• Naming Rules</li> </ul>      | Reference Information<br><br><ul style="list-style-type: none"> <li>• Glossary</li> <li>• Naming Rules</li> </ul>  |

Table 4.2 Example for each process output

| Process                               | Output set                  | Output   | UML diagram                  |
|---------------------------------------|-----------------------------|--|------------------------------|
| Business Analysis                     | Business process model      | Business use case diagram                              | Use case diagram             |
|                                       |                             | Business flow  | Activity diagram             |
|                                       |                             | Business use case description                          |                              |
|                                       | Domain model                | Concept class diagram                                  | Class diagram                |
|                                       |                             |  | Object diagram               |
| Requirements Analysis                 | Use case model              | System use case diagram                                | Use case diagram             |
|                                       |                             | System use case list                                   |                              |
|                                       |                             | Business rule definition                               |                              |
|                                       |                             | System sequence diagram                                | Sequence diagram             |
|                                       |                             |  | Interaction overview diagram |
|                                       |                             | System use case description                            | Activity diagram available   |
|                                       | User interface model        | Screen transition diagram                              | State machine diagram        |
|                                       |                             | Screen, Design book                                    |                              |
|                                       | Non-functional requirements | Non-functional requirements definition                 |                              |
|                                       | Glossary                    | Glossary   |                              |
| System Analysis                       | Analysis model              | Robustness diagram                                     |                              |
|                                       |                             | Analysis class diagram                                 | Class diagram                |
|                                       |                             |  | Object diagram               |
|                                       |                             | Data model diagram (E-R diagram)                       |                              |
|                                       |                             | Interaction diagram                                    | Sequence diagram             |
|                                       |                             |  | Communication diagram        |
| Architecture Design/<br>Detail Design | Architecture model          | Architecture description                               |                              |
|                                       |                             | Software configuration                                 | Package diagram              |
|                                       |                             |  | Component diagram            |
|                                       |                             |  | Composite structure diagram  |
|                                       |                             | Hardware configuration                                 | Deployment diagram           |
|                                       |                             | Fundamental process method                             | Sequence diagram             |
|                                       | Design model                | Architecture class diagram/Detail design class diagram | Class diagram                |
|                                       |                             |  | Object diagram               |
|                                       |                             | Data model diagram (E-R diagram)                       |                              |
|                                       |                             | Interaction diagram                                    | Sequence diagram             |
|                                       |                             |  | Communication diagram        |
|                                       |                             | State diagram  | State machine diagram        |
|                                       |                             |  | Timing diagram               |
|                                       |                             | Class specification                                    |                              |
| Embedded                              | Embedded set                | Implementation code                                    |                              |
| Unit test/<br>Join test Process       | Test set<br>Output set      | Test plan  |                              |
|                                       |                             | Test specification                                     |                              |
|                                       |                             | Output   | UML diagram                  |

Table 4.3 Development Know-How (Hints and Tips)

| Process                                  | Point No. | Know-How  |     |
|--|-----------|---|-----|
| Define specific scope of work            | 01        | Define specific scope of work, roles and responsibilities           | (*) |
|  | 02        | Determining UML diagram to be used                                  |     |
|  | 03        | It does not have to be UML at all times                             | (*) |
| Business Analysis / Requirement Analysis | 04        | Participate early development process ( <i>optional</i> )           |     |
|  | 05        | Non-functional requirement definition                               |     |
|  | 06        | Understanding business process by analysis models                   | (*) |
|  | 07        | Creating glossaries   |     |
|  | 08        | Create naming rules   |     |
|  | 09        | Creating modeling rules   |     |
| System Analysis/ Architecture Design     | 10        | Explicit common function  |     |
|  | 11        | Architecture Model  |     |
|  | 12        | Create output of architecture description                           |     |
|  | 13        | Using patterns  |     |
|  | 14        | Level of description of specification, format specification         | (*) |
|  | 15        | Creating detail design guideline                                    |     |
|  | 16        | Clarifying areas of which specifications are not determined         |     |
| Detail Design / Implementation           | 17        | Reviewing output by the offshore partner                            |     |
|  | 18        | Repeating check by the owner (Japan)                                |     |
|  | 19        | Validation and Verification   |     |
|  | 20        | Reviewing detail design by the models                               |     |
|  | 21        | Consistency between UML diagrams                                    | (*) |
|  | 22        | Using code generation feature in the tool for production (Optional) |     |
| Remarks                                  |           | Unique nature of systems development in Japan                       |     |

(Optional): Expected to be very effective if it is executed under well-prepared appropriate situation. However, it is not necessary required in the early stages of offshore development.

(\*): Samples are available. See Appendix A for explanation of the samples.

## 【Point 01 Define specific scope of work, roles and responsibilities.】

Each process should be assigned clearly. Output (with UML or non-UML) for each process should be decided in advance. Modeling and test scopes need to be distinguished precisely in offshore development.

### 【Purpose】

A system development project can be processing in several offices/branches (local or worldwide) together. Every project has different task and output in each process. Task for each process should be correctly recognized to avoid any confusion when project starts. Client and offshore members verify their outputs in each process to avoid issues after completion.

### 【Details ・ Supplementation】

Offshore task for each process should be correctly assigned for efficient processing. In many cases offshore members are not available to deal with environmental aspect, operational requirements, troubleshooting requirements, and security issues. Therefore, it is helpful to divide the tasks for offshore team and client (Japanese project company) in advance.

#### <General process>

- Describe outcome for each process.
- Verify input/output for each process.
- Produce the guideline for output forms and contents granularity.
- Decide how to verify output to avoid inconsistency between related outputs.

#### <Architecture design ・ Detail design (modeling)>

- Decide the fundamental development and other development scopes to be provided.

#### <Implementation ・ Unit test (preparation and production)>

- Development environment requirements (including server, software, and version)
- Scheduling to provide components (available date)
- Components provided (summary, external requirements)
- Development tools

#### <Test>

- Consider development environmental condition
- Choose if test and sample data will be provided (Sample data will be helpful for better understanding)
- It is expected to provide test data as early as possible if test data is provided

Offshore development party should particularly consider the followings in the early stage of development.

- Any constraints in the offshore development environment and test environment

For example, it is required to check in an early stage if documents in Japanese/Chinese will be used, large server/computer can be prepared, or files can be shared.

- Any constraints on non-functional requirements implemented by offshore architecture

For example, some tests need to have an embedded verification function and the other tests rely on the performance during the test.

- Limited test data in offshore environment

For example, secured client data or some test data in Japanese or Chinese is difficult to share because of the language barrier.

It should be recognized that communication is extremely important in the offshore development project. Detail and specific communication planning will be required. Specifically, who should communicate with whom, either by weekly or any other cycle.

Not just scope of work and associate responsibility, It is necessary to clearly define the development organizations in the offshore team.

#### 【Relevant information】

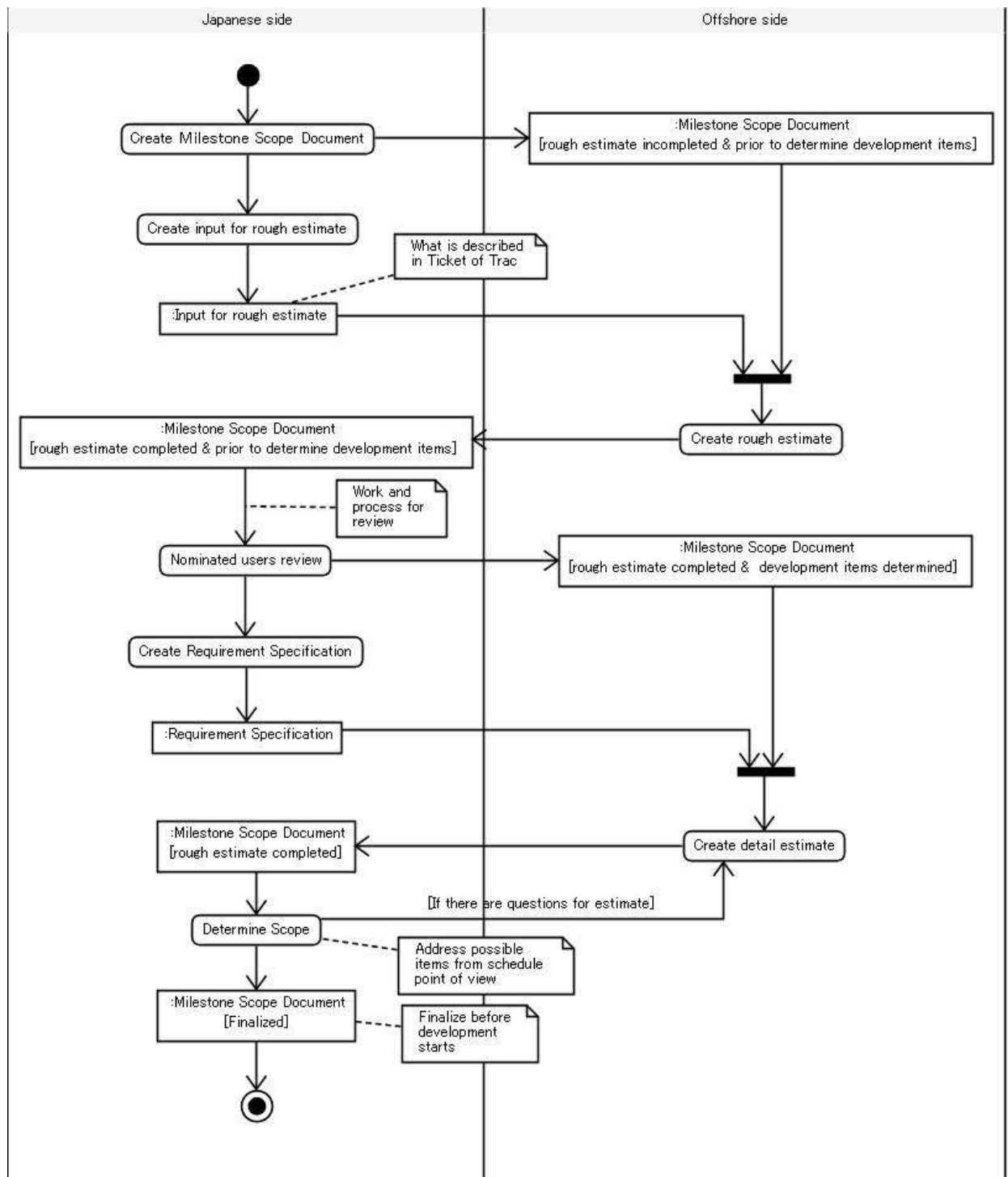
Figure 4.1 Development Process

Table 4.1 Description of Each Process



<Example of using activity diagram to clearly define works and responsibilities>

Swimlane in the activity diagram is used to identify the works of Japan and offshore partner.



## 【Point 02 Determining UML diagram to be used.】

Clarify which UML diagrams and supplementation will be used for each process.

### 【Purpose】

Use appropriate UML diagram in each process development. Decide which UML will be used by process when project starts.

### 【Details ・ Supplementation】

Decide which UML diagrams will be used in each process to avoid missing any steps. Analyze UML diagram link such as diagrams by random/compulsory classification to explain the basis of the model. Consider using UML diagram as shown below for business analysis ~ detail design in each process.

#### <Task analysis>

- |                   |                         |
|-------------------|-------------------------|
| •Use case diagram | △ (depending on status) |
| •Activity diagram | ○                       |
| •Class diagram    | △ (depending on status) |
| •Object diagram   | △ (depending on status) |

#### <Requirement analysis>

- |                               |                         |
|-------------------------------|-------------------------|
| •Use case diagram             | ○                       |
| •Activity diagram             | △ (depending on status) |
| •Sequence diagram             | △ (depending on status) |
| •Interaction overview diagram | △ (depending on status) |
| •State machine diagram        | △ (depending on status) |

#### <System analysis>

- |                        |                         |
|------------------------|-------------------------|
| •Class diagram         | ○                       |
| •Object diagram        | ○                       |
| •Sequence diagram      | ○                       |
| •Component diagram     | △ (depending on status) |
| •State machine diagram | △ (depending on status) |

#### <Architecture design、Detail design>

(To reassess the diagrams drawn up in previous process and to add more details)

- |                              |                         |
|------------------------------|-------------------------|
| •Component diagram           | △ (depending on status) |
| •Composite structure diagram | △ (depending on status) |
| •Class diagram               | ○                       |
| •Communication diagram       | △ (depending on status) |
| •State machine diagram       | △ (depending on status) |
| •Sequence diagram            | ○                       |
| •Object diagram              | ○                       |
| •Package diagram             | ○                       |
| •Deployment diagram          | △ (depending on status) |
| •Timing diagram              | △ (depending on status) |

### 【Relevant information 】

Refer to Table 4.2 Example for each process output.

### **【Point 03 It does not have to be UML at all times.】**

The entire output of system development does not have to be in UML only. Use non-UML diagram if it suits your purpose.

#### **【Purpose】**

The purpose of architecture is to deliver development objects more precisely and efficiently. It is not necessary to use only UML diagrams in system development to produce architecture documentation.

#### **【Details ・ Supplementation】**

Recognize UML diagram features and use appropriate diagram to demonstrate information. Use UML or non-UML appropriately because not every UML supports every output. In this case, it is not necessary to use UML. For example, some tables demonstrate clearer information depending on context. All the information does not have to be in UML model. The document should be in proper format whether it is UML or not.

Consider the following for using non-UML diagrams.

- Screen transition diagram
- Screen design documentation
- Data model diagram (E-R diagram)
- Data flow diagram (DFD)
- Robustness diagram (UML extension)
- Network diagram

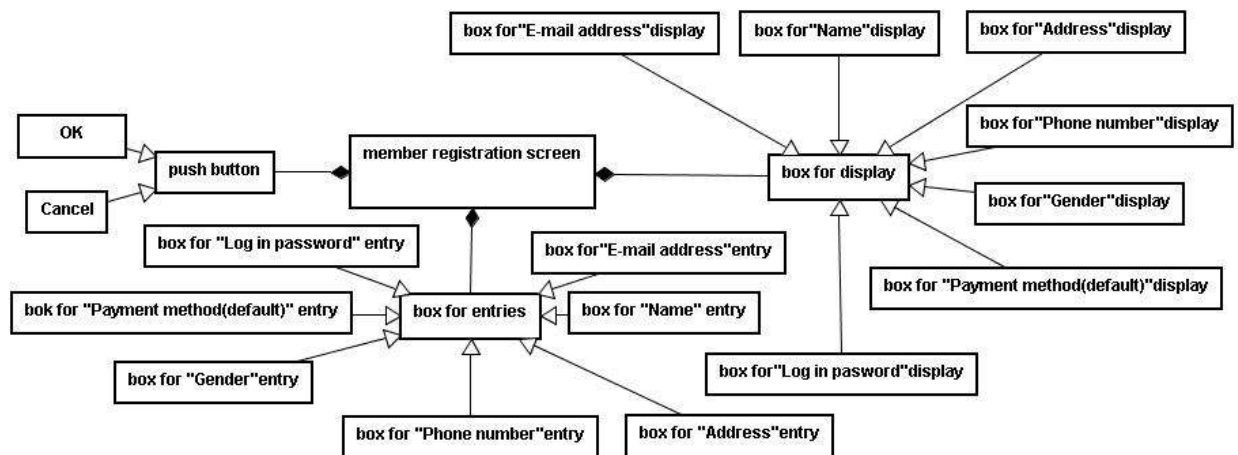
#### **【Relevant information 】**

Table 4.2 Example for each process output.

【Example that the technology other than UML may be more appropriate】

When you design the member registration screen, how you could do it UML class diagram is shown in the sample 1. Sample 2 is the screen sample designed and developed by the other screen design tools and Excel. It is obvious that the sample 2 is much easier to understand rather than the sample 1 when you design screen layouts, when you make sure of the design or review them. It is suggested not to use UML to design screens and reports, and use a diagram in the sample 2 alternatively.

<Sample 1>



<Sample 2>

### Member Registration

|                                   |   |
|-----------------------------------|---|
| <b>E-mail address (log in ID)</b> |   |
| <b>Name</b>                       |   |
| <b>Address</b>                    |   |
| <b>Phone</b>                      |   |
| <b>Gender</b>                     | <input type="radio"/> Male <input type="radio"/> Female |
| <b>Payment method (default)</b>   | -- Please select ▼                                      |
| <b>Password for log in</b>        |   |

OK

Cancel

**【Point 04 Participate early development process (optional) 】**

It is desirable that offshore system engineer (SE), who's command of Japanese language are high, to participate high-level system design process which is conducted in Japan.

**【Purpose】**

Drive after detail design efficiently if offshore members develop process after detail design.

**【Details ・ Supplementation】**

Offshore members have difficulty in understanding the entire system because The Japanese project team performs the high-level system design process and offshore members perform the detail design. Therefore, it is necessary that offshore members participates the high-level system design process and understand the purpose of the development system and the contents to avoid any mistakes in the detail design process and its successive processes. It would also be possible to request the Offshore Partner to execute Join Test partially as some of the Offshore Partner members understand the entire system design. In this scenario, it would be ideal that just some of offshore members participates the high-level system design process would be depending on the system size.

Most importantly, Owner (Japan) should make a fair judgment whether or not the Offshore Partner has qualified engineers who could possibly participate the high-level system design process. Then, the Owner could consider expanding the areas that the Offshore Partner could help.

**【Relevant information 】**

Point 01: Define specific scope of work, roles and responsibilities

Point 06: Understanding business process by analysis models

Point 11: Architecture model

## 【Point 05 Non-functional requirement definition】

Define the non-functional requirements. Non-functional requirements are important factor to determine how to make required functions (system features) available.

### 【Purpose】

Non-functional requirements are important for architecture design and have to be defined clearly before the architecture design. Non-functional requirements are entered when the architecture is designed.

### 【Details ・ Supplementation】

There are functional requirements and non-functional requirements in the system requirements. Indicate functional requirements clearly in usage case diagram and define non-functional requirements. Non-functional requirements are described as shown below.

- Development/system requirements (hardware/software)
- Performance requirements
- Reliability requirements
- Cost (short/long terms)
- Security
- Maintenance
- Operational requirements

This particular section covers non-functional requirements in the system, not just what to be covered by the Offshore Partners. How project members typically view things may not be equally interpreted by the Offshore Partners. Therefore, it is extremely important to define clearly what the output goals should be including non-functional requirements.

### 【Relevant information 】

Point 11: Architecture model

## 【Point 06 Understanding business process by analysis models】

Create Analysis Model to help project members understand business process visually.

### 【Purpose】

Using Analysis Model helps engineers to understand business process visually at high-level. So that, it is expected that Owner (Japan) should create the Analysis Model as the Owner is supposed to be familiar with system requirements in detail. Model description can be written in Japanese. However, it is expected to be brief, not using long sentence. Using models help Offshore Partners' engineers who may not be fluent in Japanese, and it will help both Owner and Offshore Partner to communicate accurately.

### 【Details ・ Supplementation】

Analysis Model uses mainly class diagram and presents business processes. Analysis Model does not explain how business processes can be systematically design. It does not necessarily have to be in detail. Models should be developed for common understanding among project members. This also intends to avoid anything ambiguous. It will help any successive design processes to avoid any step-backs. Offshore Partners should be advised to ask questions as early as possible if models cannot be interpreted completely or they have any other questions.

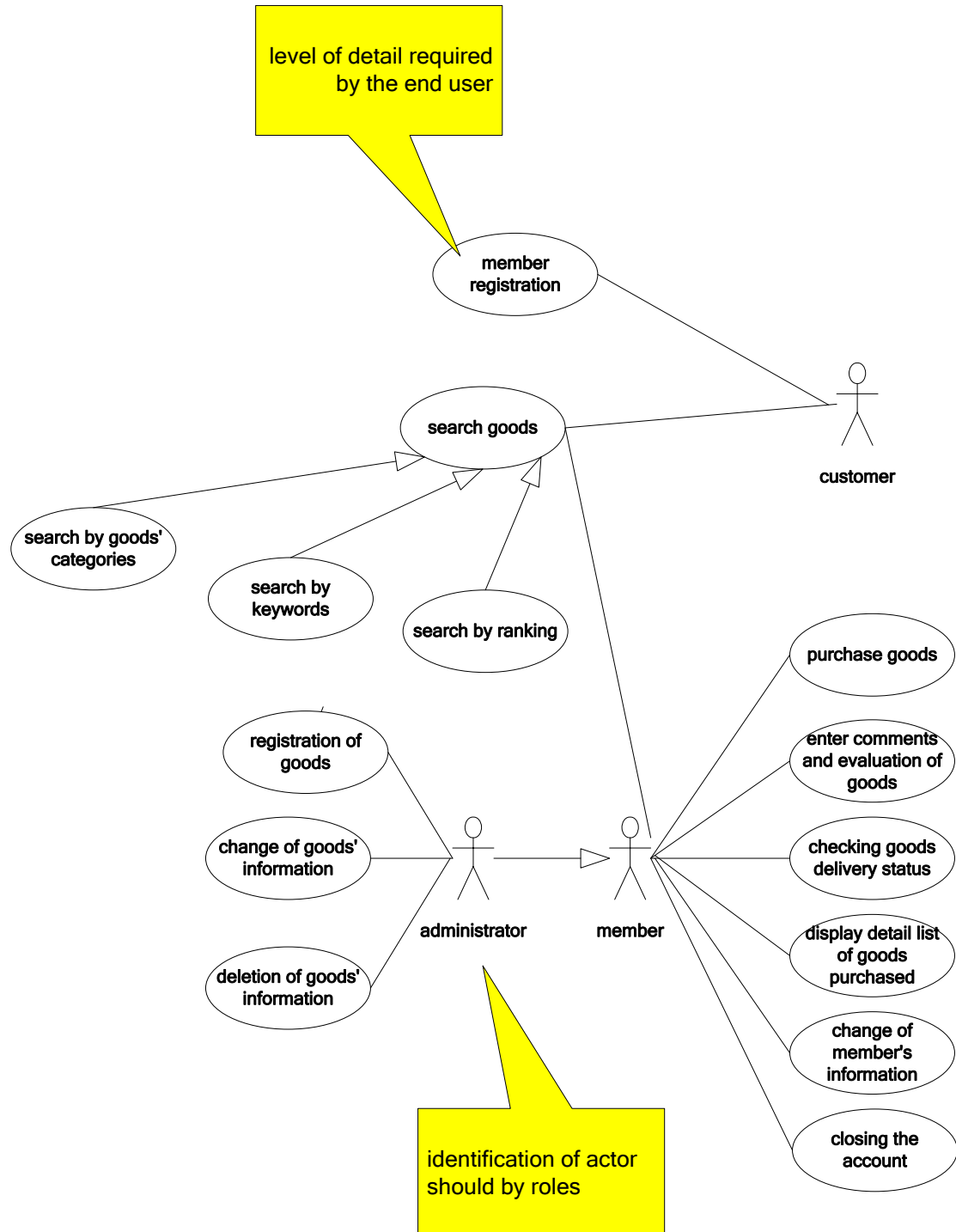
### 【Relevant information 】

Appendix, Sample Output: (1) Output of each process ③Process: Systems Analysis

【Sample of analytic model to understand business operation】

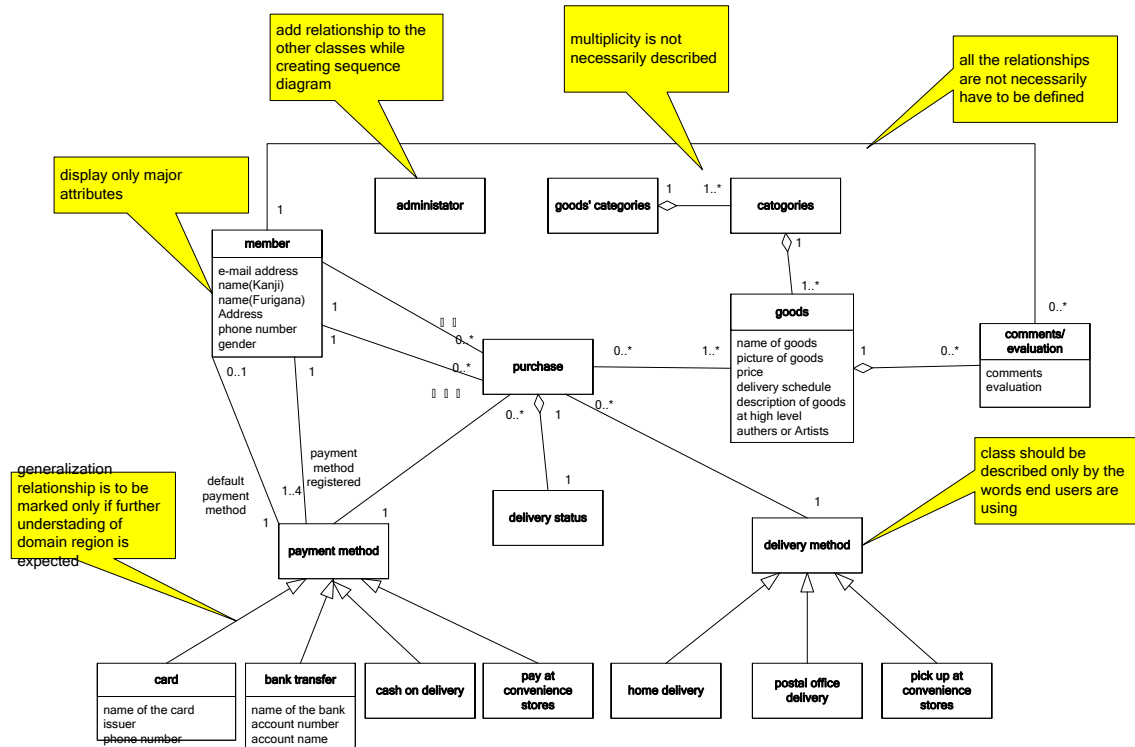
Understanding business operation by use case diagram and class diagram at analytical level.

Example of use case diagram





## Sample of class diagram



### <Notice>

- Should be created from end user point of view (operational point of view), and should not be too much detail.
- Name of use case should be the name of operation in use, and name of class, name of attributes should be the words used in the real operation.

## 【Point 07 Creating glossaries】

Create glossaries. It is efficient to classify common terminology and specified business terminology. Generate it not only in Japanese but also in the offshore member's language (for example, Chinese or English in the case of China). Include offshore member's language for specified business terminology to deliver the meaning more precisely.

It is strongly suggested to use English, and not to use Katakana.

### 【Purpose】

Improving offshore project members understanding of tasks and consistency. The offshore project team will have clear naming rule to improve the followings.

- Understand design documentation from the Japanese project team
- Prepare specification generation ability
- Use naming rule when the process is accepted and verified

### 【Details ・ Supplementation】

Terminology and contents are used to improve comprehension of tasks. Update version if glossary is changed and notify all members of the update. If possible, the Japanese project team and the offshore project team are informed that the glossary version is updated in real time.

### 【Relevant information 】

Point 08: Creating naming rules

UML Modeling Glossary

<http://www.umtp-japan.org/modules/data4/index.php?id=2>

## 【Point 08 Creating naming rules】

Create naming rules. Use naming rule standard needs to be created in the system analysis stage at least.

### 【Purpose】

Produce the standard terminology in order to achieve the efficient requirement analysis and system analysis process output in development and improve the later process output. Offshore project members recognize naming rules and improve the following.

- Understand the design provided by the Japanese project team
- Specification quality of offshore project team
- Use naming rule when the process is accepted and verified

The Japanese project team also improves their review and design with misusing Japanese and lack of description.

### 【Details ・ Supplementation】

It is convenient to generate rule to decide which language (Japanese or Chinese), digits/figures, and row classification will be used. It is also effective to describe them in both Japanese and English. The following items decides the naming rule.

- Subsystem/component name
  - Package name
  - Use case name
  - Class name
  - Attribute name and property name
  - Operation name and method name
  - Event name
  - Message name
  - Status name
  - Screen ID、 screen transition ID
- and more.

### 【Relevant information 】

Point 07: Creating glossaries

## 【Point 09 Creating modeling rules.】

Create modeling rules same as coding rules on implementation.

### 【Purpose】

Generate modeling rule for consistency of models made by the project members. It helps maintenance.

### 【Details ・ Supplementation】

UML elements (class, package, and message etc.) are classified by explicit modeling rule and the meanings are more accurate. For example, class is divided into BCE (Boundary、Control、Entity) to perform system analysis, architecture design and detail design generally. Boundary indicates other system mediating class; control indicates flow control class; and entity indicates existing class in reality. In addition, development project or exclusive rule in a business unit such as data delivery class, database exchange class, or time constraint message can be generated for better communication between project members.

It is also advised to define level of authorization for changes in Models. For example, it could be authorized for Offshore Partners to use “private” operation, subject to that Offshore Partners needs approval from Owner (Japan) to use “public” operation.

UML extension function can be used when a modeling rule is generated if necessary. UML has UML profile as a UML extension tool. UML extension by UML profile uses stereotype, limitation, and meta-attribute specifically.

### 【Relevant information 】

Point 07 Creating glossaries.

Point 08 Creating naming rules.

## 【Point 10 Explicit common function】

Check for common functions in UML modeling process. The offshore project team can produce generalization based exclusively on the architecture by Japanese project team when Japanese project team produces the design/implementation.

### 【Purpose】

Improve quality and development efficiency. Solution is required for the intention such as the followings of offshore project members.

- Offshore project members copy and modify samples provided, or from first development output repeatedly and consider the modification as another output.
- Similar functions considered as common component/function/module can be generated from the result of copied design or implementation.

### 【Details ・ Supplementation】

Offshore project team generates common functions based on sample exclusively for efficient internal development. It means they copy samples and produce again after generating the task sequence. The Japanese team should provide architecture and guideline in advance and perform an analysis review in an early stage. Verify if common functions are generated concisely and the components are assigned properly. For this, include the following.

- Task item to verify common function in planning task process.
- Task item to check common function included in reviewing.

### 【Relevant information 】

None

## 【Point 11 Architecture model】

Architecture Model means specific design of hardware and software basic structure. It is strongly advised to create comprehensive architecture model and make sure that the models are equally understood among project members.

### 【Purpose】

Understand tasks to verify that the hardware and software architectures satisfy the client's business request. That being a situation, it is advised that Owner (Japan) creates Architecture Model. Architecture should be designed with future system extension in mind or change in a long term because architecture change causes design/specification change as well.

There is a chance that any system enhancement or maintenance in the future may end up failing because of the insufficient understanding of system architecture of Owner (Japan) if Offshore Partner is assigned to design system architecture.. In this situation, appropriate changes and enhancement in the future may not be possible.

### 【Details ・ Supplementation】

The Japanese project team prepares the system hardware/software/architecture for the development project. In this case, consider the followings.

- Is the architecture reasonable for functional/non-functional aspect?
- How to implement the requested performance/capacity?
- How to solve the restraints from operational/test environment?
- How to perform operational requirements including trouble shooting?
- How to solve the security issues?
- How to manage development member's skills?

The Japanese project team designs the architecture model and forwards it to the offshore project members as output. It will be helpful to prepare a guideline including 'development sequence according to hardware architecture and software architecture' in case of need.

### 【Relevant information 】

Point 12: Create output of architecture description

Appendix, Sample output: (1) Output of each process ③Process: Architecture design

## 【Point 12 Create output of architecture description】

Draw up output of system software architecture description.

### 【Purpose】

The Japanese and offshore project team members both equally understand the development system software architecture when the process moves from requirement analysis ~ architecture design of the Japanese project team to detail design of offshore team in offshore development. The Japanese project members should send information about the structures and/or ideas that the development system is based upon.

### 【Details ・ Supplementation】

Use supplementation as process input to verify the software architecture and draw up output from the architecture result. The architecture description output consists of class diagrams and other supplementary documents in system analysis ~ architecture design level.

Each diagram demonstrates ground generating design, decision points, or the intention/purpose of the hardware/software architecture of development system in functional aspect. You can use component diagrams, package diagrams, and/or deployment diagrams if necessary. Description in Japanese can be delivered concisely to offshore project members if you use diagrams of models.

The followings are as outputs on architecture modeling process.

- Input : non-functional requirement definition (Supplementary specification)
- Output : architecture description, detail design guideline

It is not satisfactory if Architecture Model and Architecture Description have just been created. It is even more important and required to make sure that these are in place and used.

### 【Relevant information 】

Point11 Architecture model

Appendix, Sample output: (1) Architecture description

## **【Point 13 Using patterns】**

It is recommended to use any Patterns, which are known well in architecture or design, either in architecture design phase or detail design phase.

### **【Purpose】**

Using Patterns commonly known among project members accelerates understanding of each other, and helps providing more information for purpose and responsibilities even without sufficient explanation. This equally means there are more information for better communication. This is extremely effective to communicate intention of architecture in the architecture design phase, or to communicate roles and intentions of Components and Classes in the detail design phase.

### **【Details • Supplementation】**

It is often happens that design patterns in the software development is explained in combination with UML. Know-how in system design will be incorporated into design patterns which are typically shared by engineers. Re-use or application of these design patterns contribute s sustaining quality and brings common understanding successfully by design patterns in the communication in design phase or any review phases. Such architectural level patterns in the architecture design phase as MVC (Model, View and Control) helps project members responsible for detail design to better communicate what the design intends. Such patterns used in the detail design phase as GoF (Gang of Four) helps engineers to better assume roles and responsibilities of each part of the process designed in any review processes. This also helps checking actions as the points to be checked are effectively summarized. As such, suing patterns are always helpful for all the project members to better understand each other's work and outcome of it.

Mastering Patterns and usage of it is not mandatory in the Offshore Development. However, it is truly believed that it helps communications among project members and fulfills skill level gap among them. So, it is viewed that learning Patterns is certainly recommended.

### **【Relevant information 】**

Point 06: Understanding business process by analysis models

Point 11: Architecture model

Point 12: Create output of architecture description



## 【Point 14 Level of description of specification, format specification】

Specify level of description of UML and format specification for each respective specification which is supposed to be the outcome of off-shore partner.

### 【Purpose】

Sample artifacts are often required in the off-shore development, not just in UML. Lack of detail direction from Japan to the off-shore partner's project members regarding how they should describe specification will create inconsistent way of description and inconsistent format among the off-shore partner's project members. It is also recognized that there is not that much effort by the off-shore partner's project members to try to make description level and format consistent.

It should be considered depending on the situation that the artifacts are to be presented with the customers or to be reviewed by the Japanese project members.

### 【Details ・ Supplementation】

Regarding UML contained in the artifact, Japan members create samples based applying the detailed description and granularity of the models required by the Japanese members, and provides them as part of the guideline. It is desirable to direct specific purposes of the model such as what is expected to be done by the UML and what is to be shown by the UML. This helps the team to be consistent in the level, accuracy, and granularity of UML.

Processes handling exceptions and errors need to be included in the sample appropriately. (The off-shore partner expects that it is included in the sample at all times. So that it is not likely included in the final artifact if not included in the sample.)

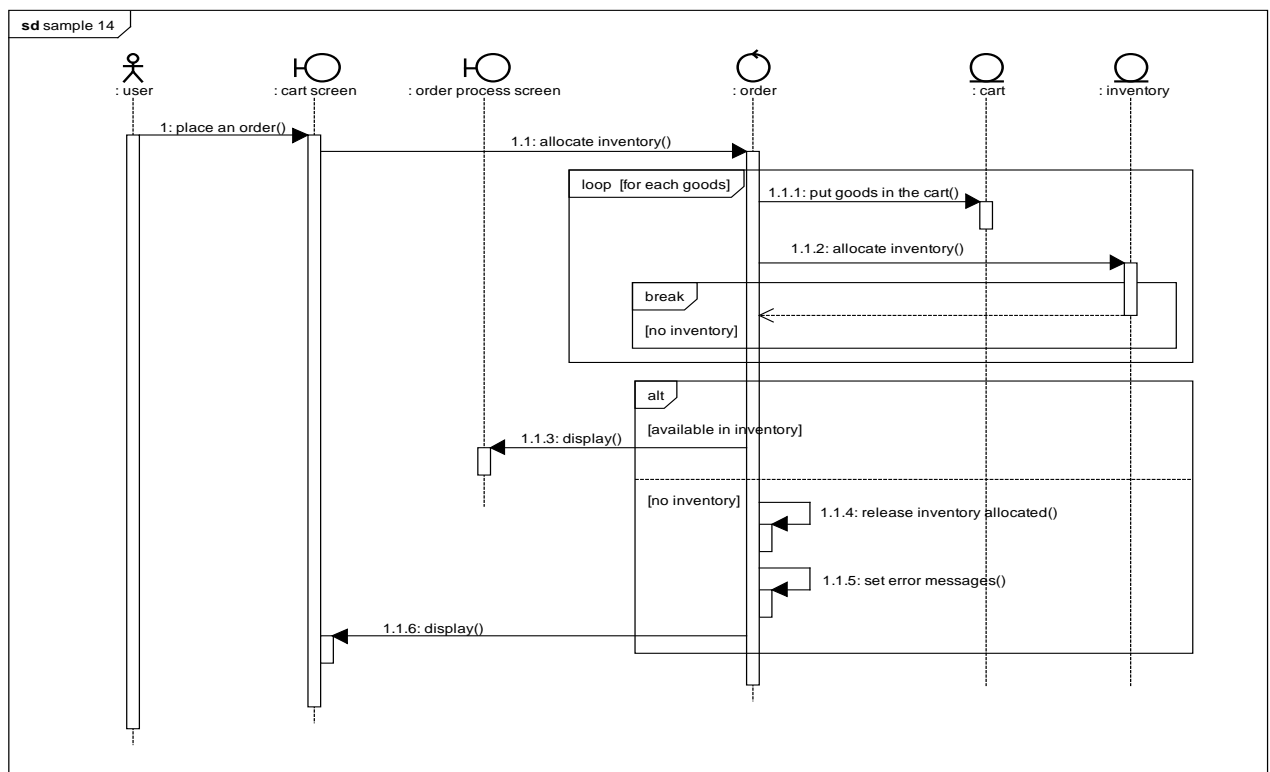
Such other points in descriptions for the artifacts to be cared should include 1) any expressions should be specific, not vague, 2) words and terms used should be consistent, and 3) words and terms in Katakana should be described in English.

### 【Relevant information 】

None

### 【Example of exception/error handling】

This system allocates the inventory when button of placing order is pressed, not when the goods are put in the cart. If inventory are allocated to all the goods in the cart, it proceeds to the order confirmation screen. If inventory is not allocated to even one item in the cart, all allocated inventory should be released, return to the original screen and error message should be shown.



#### •Notice

- 1) It happens that the process satisfies specific condition is described (i.e., process inventory is allocated in the case above) and that process not satisfying specific condition is not described (i.e. process is not allocated in the case above). Accordingly, sample specification and diagram should clearly state that both processes are required to be described.
- 2) It needs to be recognized that error handling process (release of inventory allocated in the example above) is not developed for production if it is not described in the specification.
- 3) Error handling process requires detail and specific description what to do (setting error messages before returning to the cart screen, in the example above)
- 4) Production environment and process depended on implementation should also be described as required (such as stating error message id clearly, adding additional object where error messages are sent)

## 【Point 15 Creating detail design guideline】

Present undecided specification of output precisely to offshore members.

### 【Purpose】

The Japanese project team sends a development request with some undecided specifications for 'requirement analysis ~ system analysis ~ architecture design process' to offshore project team due to the lack of time in some cases. The undecided specification can result in lots of questions from the offshore project members because the output of the Japanese project team is inconsistent in many cases.

### 【Details ・ Supplementation】

Define which specification has been undecided for the offshore project members to avoid any specification loss. It is often connected to specification change and specification missing.

Use notes or annotation including the following about undecided specification that is changeable or undefined in UML output.

- Which domain has undecided specification and which parts can be affected?
- What kind of change do you expect in the current specification? (Will it be more specific or changed to different contents?)

The Japanese project members should manage each item to avoid any loss or misleading change of offshore development parts when specification is decided or changed. In addition, check how offshore members reflect the current change or later decided specification through the offshore company's minute book and meeting minutes to confirm the development progress.

### 【Relevant information 】

Appendix, Sample output: (1) Detail design guideline

## 【Point 16 Clarifying areas of which specifications are not determined】

Areas in the output of which specifications are not yet determined should be clearly presented to the Offshore Partners. Offshore Partners should not proceed if specifications are not clearly communicated and should confirm any undefined areas of specifications with the Owner (Japan).

### 【Purpose】

Verify output review. If you inform the offshore members that the Japanese project members are going to review the offshore output, sometimes the offshore members just send their output without reviewing it because they think 'it is pointless to review it twice in both Japan and offshore sites'.

### 【Details ・ Supplementation】

Make agreement between the Japanese and offshore teams on output review including object to be reviewed, check point, and acceptance level performed by offshore project team. At the time, define the difference of the review purposes between offshore company and The Japanese project team to improve the review of the offshore company explicitly.

Basic review points are;

- Check whether all the functions on development function plan are included.
- Check whether all the specification in the entire design process is described.
- Check whether the designated description level and notation are followed.
- Check whether the consistent terminology is used precisely.
- Check whether the expected static development structure is correctly delivered, designed, and described.
- Check whether the expected dynamic development structure is correctly delivered, designed, and described.
- Check whether the expected physical alignment is correctly delivered, designed, and described.
- Check whether the class responsibility is unclear.
- Check whether the diagram consistency is ensured.
- Check whether the specification change is reflected.

### 【Relevant information 】

None

## 【Point 17 Reviewing output by the offshore partner】

Start to check when the offshore project members kick off their development.

### 【Purpose】

The following can happen because communication is not normally in the offshore project member's language in offshore development.

- The Japanese project team has difficulty ascertaining how much the offshore project members understand the project.
- The offshore project team cannot recognize their misunderstanding.

Prepare against any misunderstanding between the Japanese and the offshore project members due to miscomprehended and unconfirmed issues.

### 【Details ・ Supplementation】

The Japanese project team checks the offshore team's status including the following often after the offshore project members starts their development.

- a) Check whether the output description is accurate.
- b) Check whether the output notation standard is followed.
- c) Check whether the design contents are understood correctly.

The Japanese project members should verify continuously the review results of the offshore project members in the beginning of the process and during the process.

Furthermore, give advice to the offshore project members to verify development output and comprehend each other during the development period. Offshore Partners should create Review Reports at all times and the Owner (Japan) should review it as required.

### 【Relevant information 】

Point18 Repeating check by the owner (Japan)

## 【Point 18 Repeating check by the owner (Japan)】

The Owner (Japan) should implement appropriate checking process immediately after the offshore development effort has been started.

\*It is to be noted that “check” hereby means, level of understanding and recognition, not just any sampling checks or as opposed to “review” which is typically conducted for more formal authorization and confirmation..

### 【Purpose】

You can see inconsistent output often in offshore development even though it is not in UML. Offshore project members frequently ask about inconsistent output from the Japanese project team. Sometimes both teams cannot review their outputs due to the inconsistent output. It is required that all the necessary information should be contained in output without expecting readers to guess.

Offshore Development projects need to be closely reviewed as there might not be any output in time or quality of outputs are not satisfactory. These effort needs to be closely monitored. Checking process by the Owner (Japan) helps better quality and save workload. It is highly recommended that the Owner should allocate appropriate number of days retain resources for this particular checking effort.

### 【Details • Supplementation】

In dynamic aspect

- Mutual class diagram/sequence diagram/communication diagram
- Mutual sequence diagram/state machine diagram/ activity diagram

In static/physical aspect

- Mutual class diagram/package diagram/object diagram/ component diagram/ deployment diagram

It is suggested that the Owner (Japan) executes review process of which done by Offshore Partners in early stage of projects. The Owner (Japan) should check appropriate outputs at appropriate timing of the project rather than checking all the detail outputs.

The Japanese project team should verify through detail design review the diagram consistency with counterparts in several relevant diagrams as above. Also ensure if each diagram uses consistent terms for the same subjects. Inconsistency, as shown below, causes implementation failures.

- Some sequence operation causes unreadable recorded objects from class diagrams.
- Some state transition trigger is unreadable from sequence diagram or activity diagram.
- Component to be aligned in some node is unreadable from class diagram or component diagram.

### 【Relevant information 】

Point 17 Reviewing output by the offshore partner

## 【Point 19 Validation and verification】

It is recommended to have two views. One is to validate the project for the Owner (Japan) to achieve its goal, and the other is to verify if things are successfully implemented by the Offshore Partner.

### 【Purpose】

Roles and responsibilities are clearly defined in the Offshore Development project. Accordingly, it is expected that the Owner (Japan) tends to focus on customer's business analysis through system analysis, while Offshore Partners focus on detail design and unit test to satisfy needs defined by the Owner. This different view occasionally creates misunderstanding in testing scope and functional requirement. It is important to verify whether or not end customer's needs are all satisfied. It is equally important to validate if implanted system satisfies end customer's needs.

### 【Details ・ Supplementation】

The Offshore Partner tends to focus on verification to make sure that the system was developed to exactly meet with customer's needs, required specifications and functions are all satisfied by cross checking programs and result of running those during unit test or join test processes. On the other hand, the Owner (Japan) wants to validate many points whether or not the production system satisfies end customer's business needs.

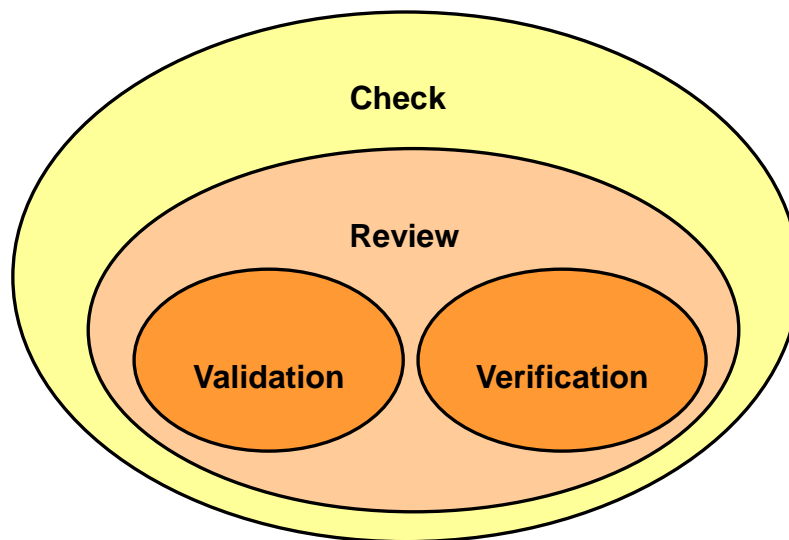
These different viewpoints between the Owner and Offshore Partner should be recognized and following actions will be required in addition to the review done by the Offshore Partner which is primarily "verification".

- The Owner should let the Offshore Partner know as early as possible during the project what exact tests the Owner wants Offshore Partners execute, from validation point of view
- The Owner should prepare for validation viewpoint tests to execute which should be conducted right after the Owner has received the outputs from the Offshore Partner.

For example, Traceability Matrix, which is to verify how each required functions are implemented and incorporated in the system by streamlining from needs analysis through implementation, is very useful and it should be very effective once project members have been qualified from skill point of view.

This guideline defines "review", "check", "validation" and "verification" as below.

Review process which targets to authorize the output can be interpreted either in “ it is ideal to be reviewed by such two points of view as validation and verification, or “it is ideal to execute variety of checking process to better monitor the progress of development project coordinated remotely, not just defined process of output review.”.



**【Relevant information 】**

- Point 01: Define specific scope of work, roles and responsibilities
- Point 17: Reviewing output by the offshore partner
- Point 18: Repeating check by the owner (Japan)



## 【Point 20 Reviewing detail design by the model】

Class Diagram, Sequence Diagram, Object Diagram, and Package Diagram are to be reviewed for detail design.

### 【Purpose】

Directions such as system sequence and business flow need to be confirmed by the Model before making it production. It is not necessary to follow source code. Review can be completed by the Model (such as sequence diagram) because it is visual and is easy for every project member. Owner (Japan) can also review the detail design by the Model, which will improve quality of the system in production.

### 【Details ・ Supplementation】

Reviewing in offshore development is more important than any other usual development. However, the Japanese project members can have many numbers of review processes if you make too many detail items to check. Therefore, it is useful to use modeling.

The Owner's (Japan) project members create Architecture Description and Architecture Guideline. The Offshore project members follow them and proceed to detail design. Then, they create such outputs as Sequence Diagram, Class Diagram, Object Diagram and Package Diagram.

The Owner (Japan) needs to review such outputs as Sequence Diagram, Class Diagram, Object Diagram and Package Diagram created by the Offshore Partner.

Important point in this particular review process is to refer Architecture Description to make sure that Sequence Diagram, Class Diagram, Object Diagram and Package Diagram created by the Offshore Partner are consistent with the architecture specified.

### 【Relevant information 】

Point 09: Creating modeling rules

Point 12: Create output of architecture description

Point 15: Creating detail design guideline

Point 21: Consistency between UML diagrams

## 【Point 21 Consistency between UML diagrams】

Consistency between UML diagrams created by the offshore partner need to be carefully reviewed.

### 【Purpose】

It is common that there are inconsistency between outputs from the Owner and the Offshore Partner. This could happen to any outputs, not just UML. Inconsistency in the output from the Owner (Japan) creates confusions and questions from the Offshore Partner. Inconsistency in the output from the Offshore Partner creates difficulties for Japanese project members to understand the output correctly. Both organizations end up not being able to review their output each other. So, it is extremely important to describe as much detail as possible in the output. Creators should not ever expect Readers to understand the output in the way Creators expect without complete description.

### 【Details ・ Supplementation】

In dynamic aspect

- Consistency between Class Diagram/Sequence Diagram/Communication Diagram
- Consistency between Sequence Diagram/State Machine Diagram/ Activity Diagram

In static/physical aspect

- Consistency between Class Diagram/Package Diagram/Object Diagram/ Component Diagram/ Deployment Diagram

The Japanese project team should verify through detail design review the diagram consistency with counterparts in several relevant diagrams as above. Also ensure if each diagram uses consistent terms for the same subjects. Inconsistency, as shown below, causes implementation failures.

- Some sequence operation causes unreadable recorded objects from class diagrams.
- Some state transition trigger is unreadable from sequence diagram or activity diagram.
- Component to be aligned in some node is unreadable from class diagram or component diagram.

### 【Relevant information 】

None

## 【Example of integrity between UML diagrams】

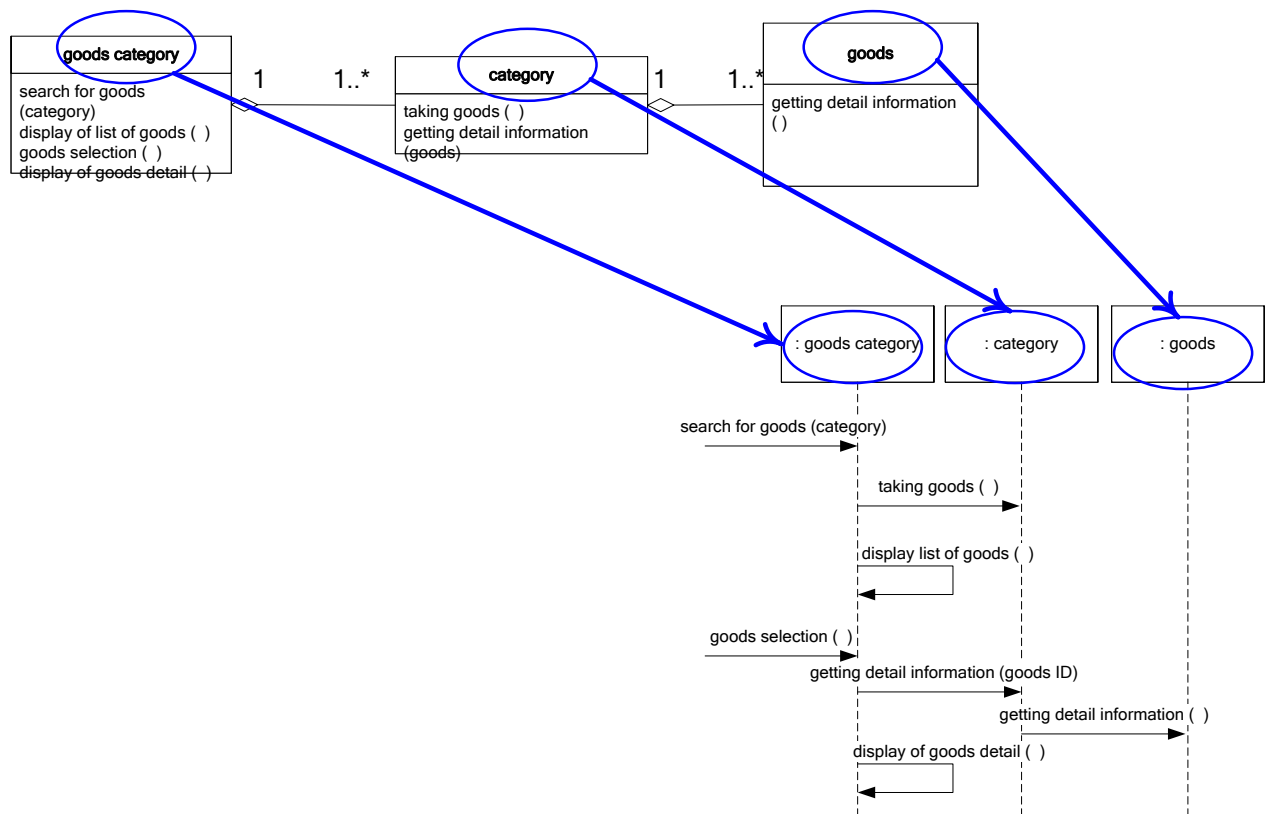
> Sequence diagram and class diagram

Special attention needs to be paid the following three integrities for sequence diagrams and class diagrams.

Example: Search for goods by goods category

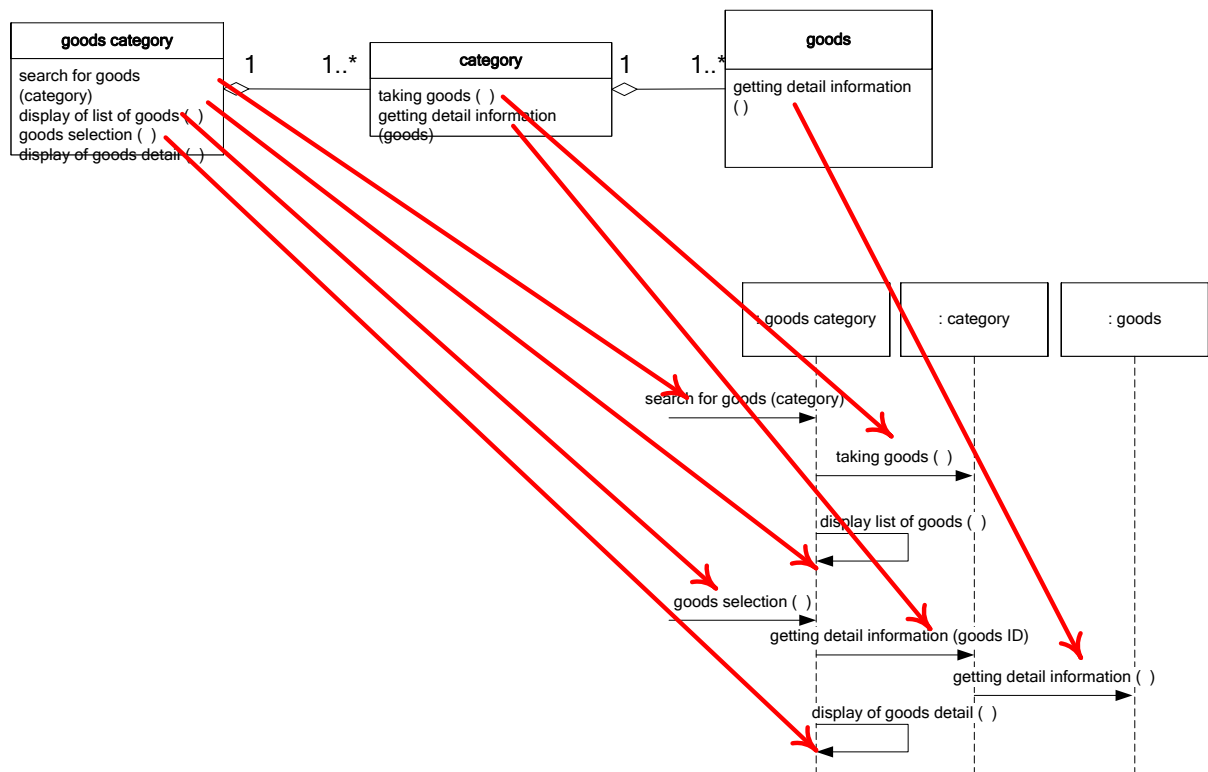
• Lifeline and class

Class in the class diagrams corresponding to the lifeline of sequence diagrams need to be defined.



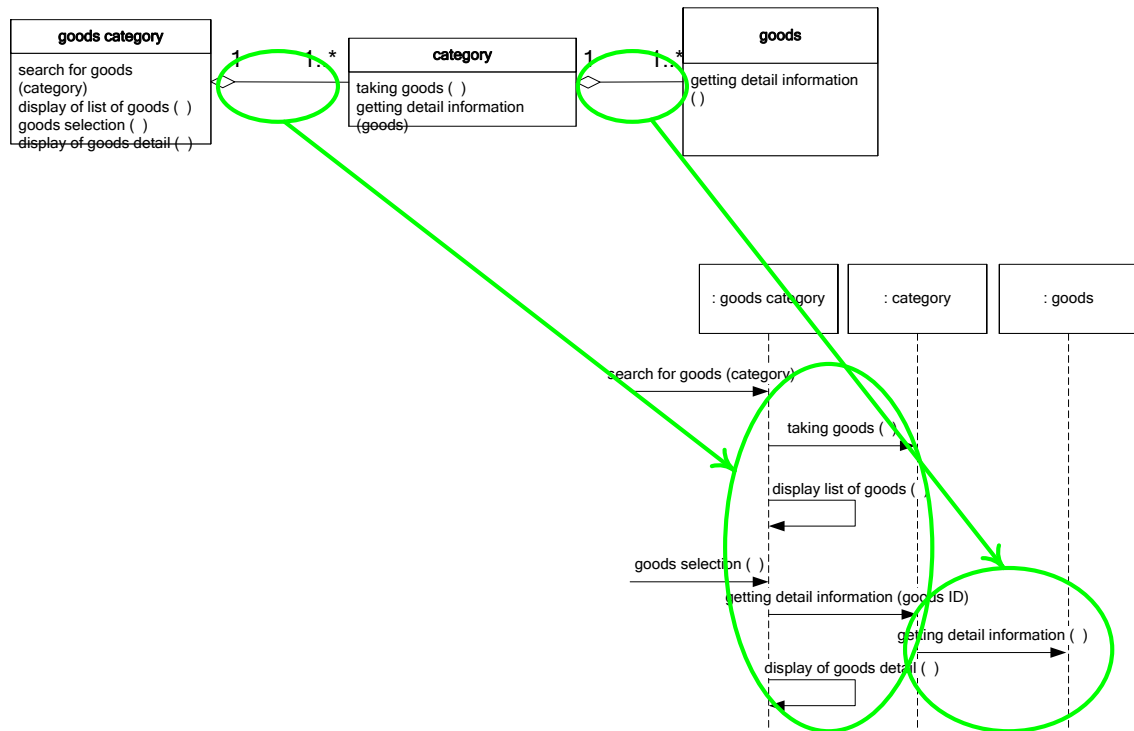
> Message and operation

In sequence diagrams, operation needs to be described in the class of the class diagram which corresponds to the lifeline where a message is called. Message call is possible by operation call.

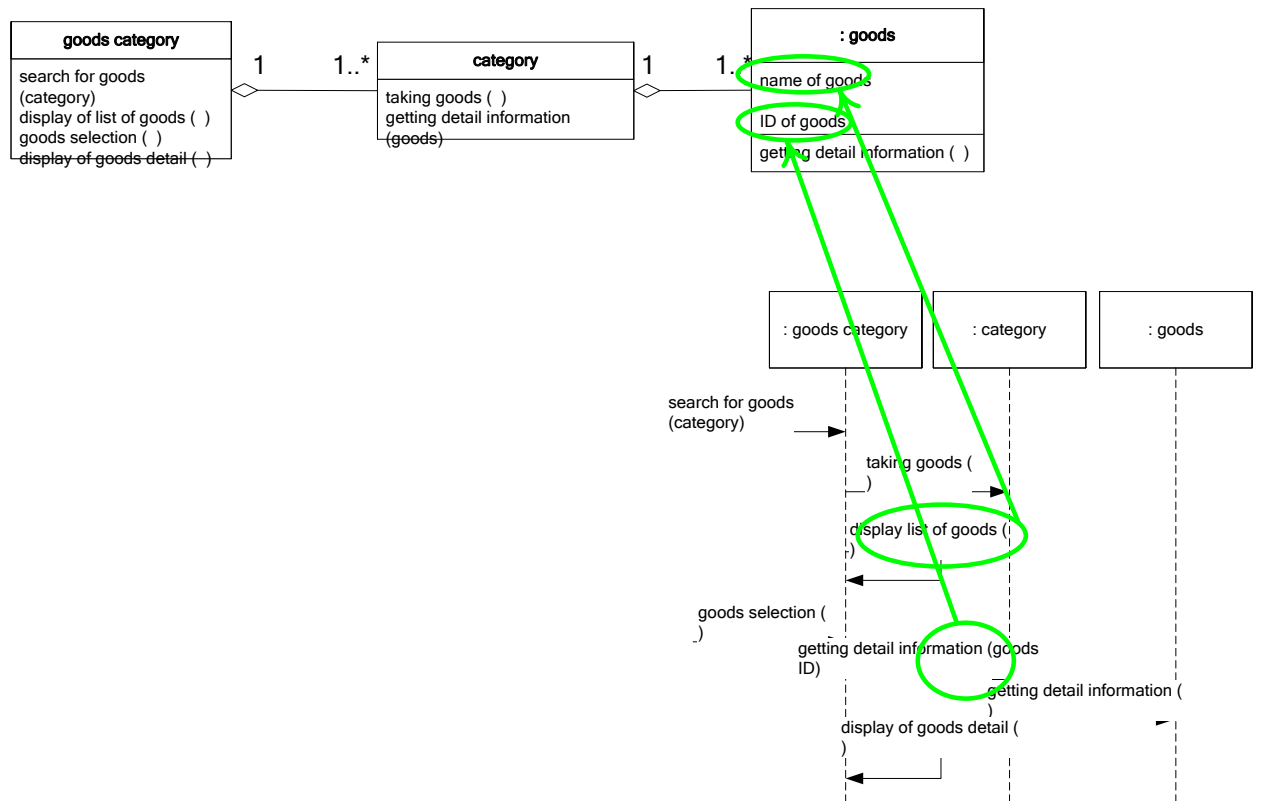


> Relationship with messages

In sequence diagrams, some relationships need to be described in between the class of the class diagram which corresponds to the lifeline where a message is called.



In addition to the three examples introduced earlier, necessity of attribute in the class diagram may be recognized occasionally.



**【Point 22 Using “code generation feature” in the tool for production (optional)】**

It is suggested to create source code using the source code template created by the code generation feature in the tool for production, if appropriate models are available. Tools do not have to be used at all times. However, using tools brings higher productivity and more efficiency.

**【Purpose】**

In the process of detail design, the Owner (Japan) project team should review such outputs as Class Diagrams or Sequence Diagrams created by the Offshore Partner. This process makes the model more reliable as it was already reviewed and confirmed that there is no error in the model. Then, it is advised to use the code generation feature in the tool so that it makes the source code even more reliable.

**【Details ・ Supplementation】**

It would be possible to generate skeleton of source code by using code generation feature in the modeling tool for the Class. This makes possible to identify the context in the source code by the model (Class Diagram) because such information as class name, attribute name, operation name will be available in the source code.

It requires checking the process flow (primarily Sequence Diagram) manually as generic models typically do not generate source code specifically for the sequence diagram (some of the modeling tools provide the feature to run the source code in production and reversely generate the sequence diagram)

**【Relevant information 】**

None

## 【Supplement: Unique nature of systems development in Japan】

This particular section is to make Owner's (Japan) unique nature clear in the systems development environment and intends to get rid of the gap between the Owner and the Offshore Partner.

### 【Purpose】

Systems development environment in Japan demonstrates the following unique nature of it.

- Project proceeds subject to repeated specification changes (people tend to believe that it would happen and unavoidable)
- Test coverage and management method must be complete and strict.

It is important to make the Owner's (Japan) unique nature of systems development environment clear and reduce issues in the project by aligning the understanding of the Owner (Japan) and the Offshore Partner.

### 【System Design】

It is important to create common understanding between the customer and developer (Owner and Offshore Partner) that changes in specification may impact significantly negatively to the project quality.

### 【Detail / Supplement】

The following might be considered reasons why changes in specification might happen.

- ① Common business practice or unique conditions in the customer industry (so to speak, common understanding in the customer industry) are occasionally not described in the specification. These typically become visible at delivery after all tests have been completed.
- ② Japanese customers tend to request in detail for screen layout and operation of the system.
- ③ Japanese customers typically request changes in specification even once it has been agreed.
- ④ The Owner (Japan) may occasionally ask the Offshore Partner to start their task (detail design) even before the final specification gets completed.



## 【Development/Test】

### 【Detail / Supplement】

The following request for the test should be satisfied.

- ① Test for all paths will be required
  - Variation or matrix of conditions, screen sequence and flow, complete code coverage (100% coverage expected).
- ② Evidence (test result) is fundamentally required.
  - Evidence is required to clarify process pattern.
  - Evidence is required if issues are recognized during development process.
- ③ Standard rates for tests items will be specified.
  - Number of steps, number of screens, number of DB tables will be the base for standard rates for the test items..
  - Rate of each such case as Normal, Abnormal, Boundary will be specified.
- ④ Number of bugs during test process will be specified
  - Test will be requested again if number of bugs in normal system, abnormal system are below each respective standard rate.
  - It will be requested to review the entire system if numbers of bugs are many.
- ⑤ Many reports and documents during test process will be requested to present.
  - List of bugs, list of issues and problems, bugs creation curve, quality management report of test processes, and so forth.

## Appendix A: Explanation of the examples used in the Points

### Internet Shopping

A Company is considering starting selling a shopping system on the Internet.

> How to search for the goods

There are three ways to search for the goods.

- 1) Search by the goods category
- 2) Search by the keywords
- 3) Search by the rank (by sales volume)

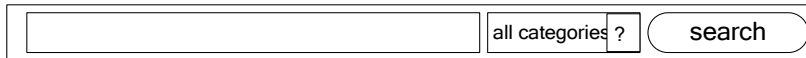
1) Search by the goods category

There are several goods categories (such as books, magazines, CD, DVD), and each category contains the following items

| Books   | Magazines  | CD  | DVD   |
|---|--|---|---|
| <ul style="list-style-type: none"><li>•Animation</li><li>•Medical</li><li>•Entertainment</li><li>•Music Melody Books</li><li>•Science Technology</li><li>•Study Aid</li><li>•Music</li><li>•Education</li><li>•Living</li><li>•Economy</li><li>•Game</li><li>•Engineering</li><li>•Languages</li><li>•Children Books</li><li>•Computer</li><li>•Qualification</li><li>•Dictionaries/Encycropedias</li><li>•Performer's photos</li><li>•Geography</li><li>•Business</li><li>•History</li></ul> | <ul style="list-style-type: none"><li>•Animation</li><li>•Music</li><li>•Game</li><li>•Marriage</li><li>•Health</li><li>•Commic</li><li>•Computer</li><li>•Magazines</li><li>•Cars</li><li>•Bikes</li><li>•Business</li><li>•Literature</li><li>•Life Style</li><li>•Cooking</li><li>•Travel</li></ul> | <ul style="list-style-type: none"><li>•J-POP</li><li>•Animation</li><li>•Easy Listening</li><li>•Enka</li><li>•Classic</li><li>•Jazz</li><li>•Pops</li><li>•Rock</li><li>•Game Music</li><li>•Western Music</li></ul> | <ul style="list-style-type: none"><li>•Foreign Movies</li><li>•Japanese Movies</li><li>•TV Drama</li><li>•Music</li><li>•Animation</li><li>•Comedy</li><li>•Variety</li><li>•Hobbies</li><li>•Sports</li><li>•idols</li><li>•Adults</li></ul> |

## 2) Search by the keywords

Select what to search, type in keyword, press search button, then goods hit the information will be shown.



The image shows a search bar with a text input field on the left, a dropdown menu in the middle showing 'all categories ?', and a 'search' button on the right.

The following all categories can be searched.

- All categories
- Books
- Magazines
- CD
- DVD

## 3) Search by the rank (by sales volume)

Choose what to search, press “rank” button, then goods will be shown by sales order sequence.

The following all categories can be searched.

- All categories
- Books
- Magazines
- CD
- DVD

### >Display of goods

Choose goods, display goods information, and then the following goods information will be shown.

This is common for all the categories. Each category may have more information to be shown.

- goods name
- picture of goods
- price
- delivery schedule
- description of goods at high level
- description about the author or the artist
- customer comments and evaluation

### >Member registration

Member registration is required to purchase goods, send comments or evaluations. For member registration, following information needs to be provided from the screen and register button needs to be pressed. The system returns a confirmation e-mail to the e-mail address registered. This confirmation e-mail has a URL which needs to be accessed to confirm the information registered, by pressing confirmation button.

- e-mail address (Log in ID)
- Name (Kanji, Furigana)

- address
- phone number
- gender
- default payment method (card, bank transfer, cash on delivery, convenience store)
- log in password

When registration is completed, members can log in by log-in ID and log in password.

- purchasing goods
- input comments and evaluation for the goods
- confirmation of goods delivery schedule and status
- detail list of goods purchased
- change of members information
- closing account

#### >Purchasing goods

Log-in is required prior to purchasing goods. You go to searching goods, list the goods to purchase, press “cart” button. You can put as many goods as you want in the cart. “What’s in the cart” button can list all the goods in the cart. You can delete the goods that you really do not need. “Order” button will lead you to the ordering process. You can choose delivery method (home delivery, post office delivery, and pick up at convenience store) and payment method (card, bank transfer, cash on delivery, or convenience store). Default payment method chosen at member registration screen will be shown on this particular screen. Information typed in will be saved and can be used next time.

To complete ordering process, you should confirm list of goods purchased, total amount, then press “confirmation” button to confirm your order. Then, you will see purchase number on the screen, and receive an e-mail which describes purchase number and list of goods purchased. If you pick up your goods at the convenience store, you need to inform this purchase number to the store clerk.

#### >Input comments and evaluations for the goods

Members can type in comments and evaluations for the goods by log-in. This input can be possible for any comments and evaluations for the goods which members do not buy. Comments can be up to 300 characters. Evaluations should be 5 scale from 1 to 5, of which “5” is the best evaluation.

#### >Checking goods delivery status

Members can confirm the delivery status of the goods purchased. After logged-in, member can pop up the goods delivery status check screen, choose the purchase number of the goods that members wants to check, and could see delivery status in more detail.

being prepared for shipping, on its way to the receiving store, delivered to the receiving store

already delivered, being prepared for shipping, being shipped, already delivered

#### >Display of list of goods purchased

You can display the list of goods purchased. You can even check the goods purchased and already delivered. After logged-in, you can display the list of goods purchased, and choose purchase number of the goods you want to check, then list of the goods selected will be shown.

#### >Change of member's information

You can change your member's information already registered. After logged-in, choose change of member's information and then screen of member's information is shown. Make any changes you need to make and then update your member's information by pressing "update" button.

#### >Closing account

If you choose closing account after logged-in, confirmation screen is shown. Then you choose closing the account, and then your account will be closed. Once account is closed, all member information will be completely deleted. You will no longer be able to log in. All the features and functions available for you as a member will no longer be available.

#### >Registering administrator

An administrator can be registered by the screen not available for public. You need to type in administrator ID and associated password.

Administrator can benefit the same features as members can. Administrators can also use some additional features as follows:

- Registration of goods
- Change of goods' information
- Deleting goods information

#### >Registration of goods

Administrator can register the goods by logging in through the screen not open to public.

Administrator chooses the category and input the following information. Some categories have more information in addition to the following. These information needs to be registered as required.

- name of goods
- picture of goods
- price
- delivery schedule
- description of products at high level
- description about the author or the artist
- customer comments and evaluation

#### >Change of goods information

Administrator can change the goods information by logging in through the screen not available to the public. First you need to choose the goods to make any changes. There are a couple of ways to make these changes.

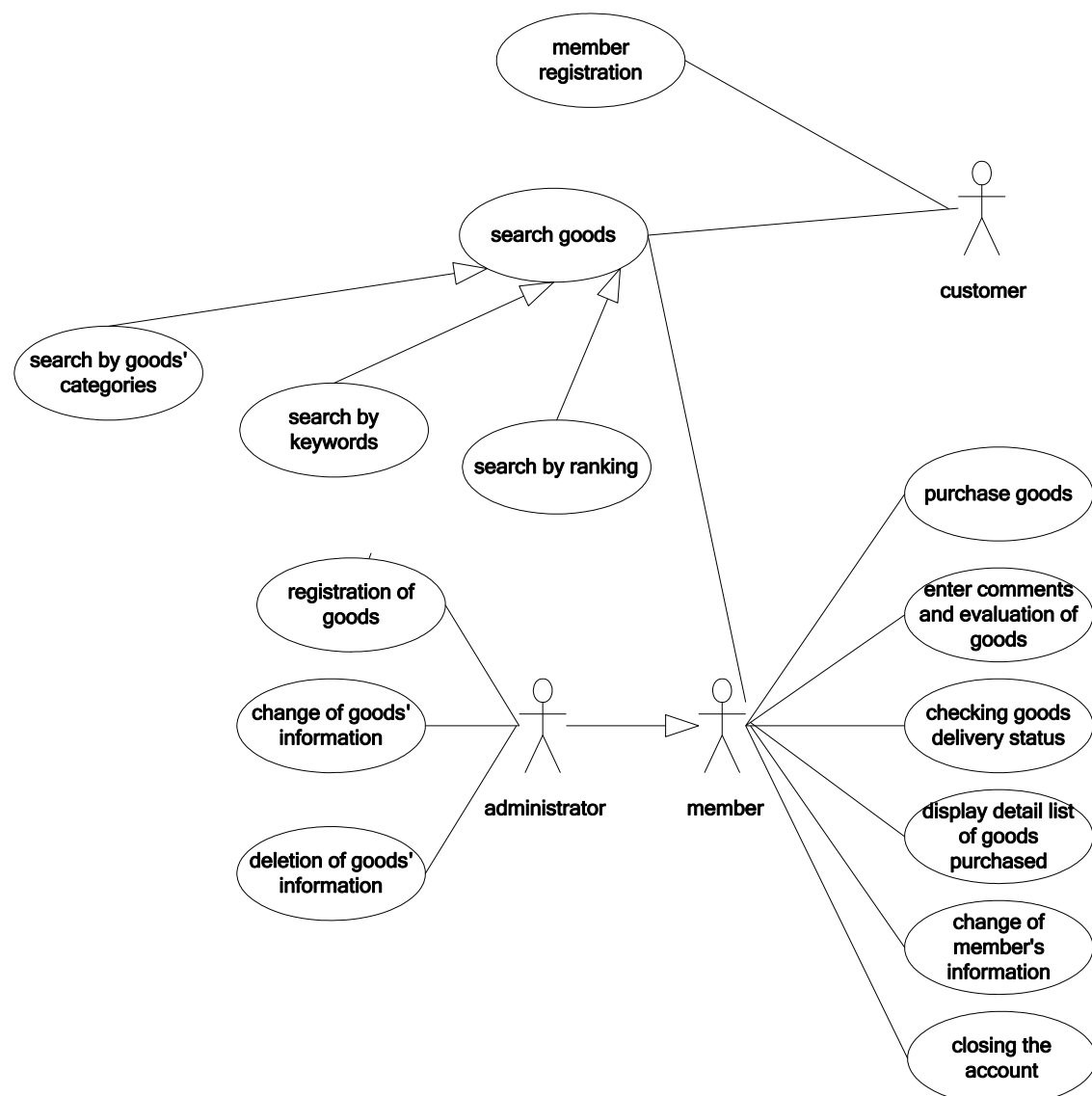
- choose goods category and select targeted goods from the list of goods in the category.
- search for the goods from search screen, and select targeted goods.

Goods already registered will be shown. Make necessary changes and apply these changes by pressing "update" button.

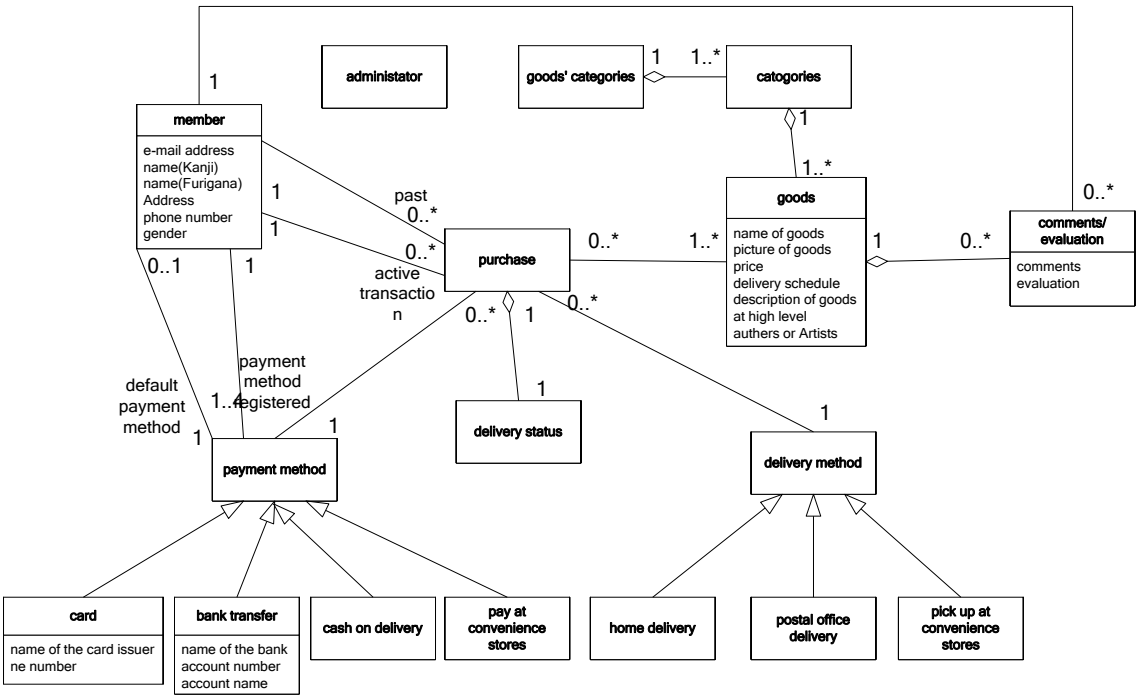
#### >Deleting goods information

Administrator can delete goods information by logging in through the screen not available to the public. Just like to change goods information, choose the goods and press "delete" button to delete goods information. Confirmation screen pops up and the goods information gets deleted.

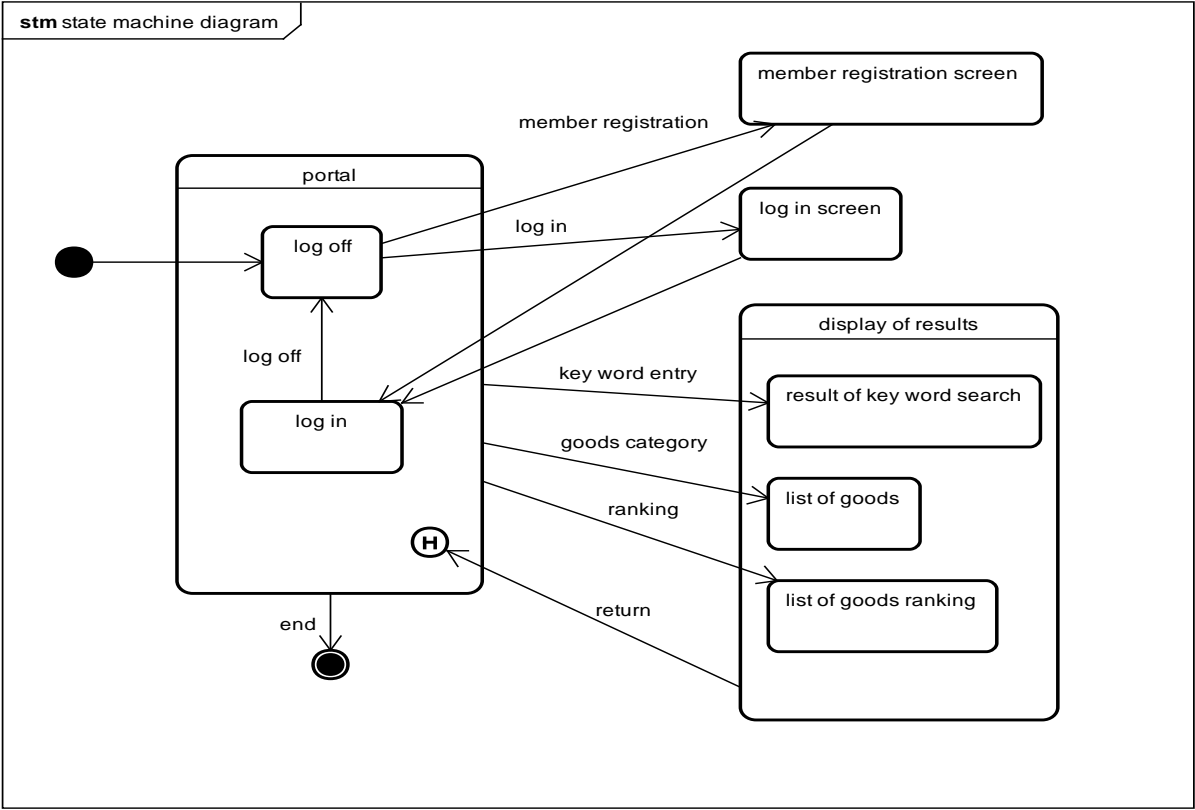
<System use case diagram>



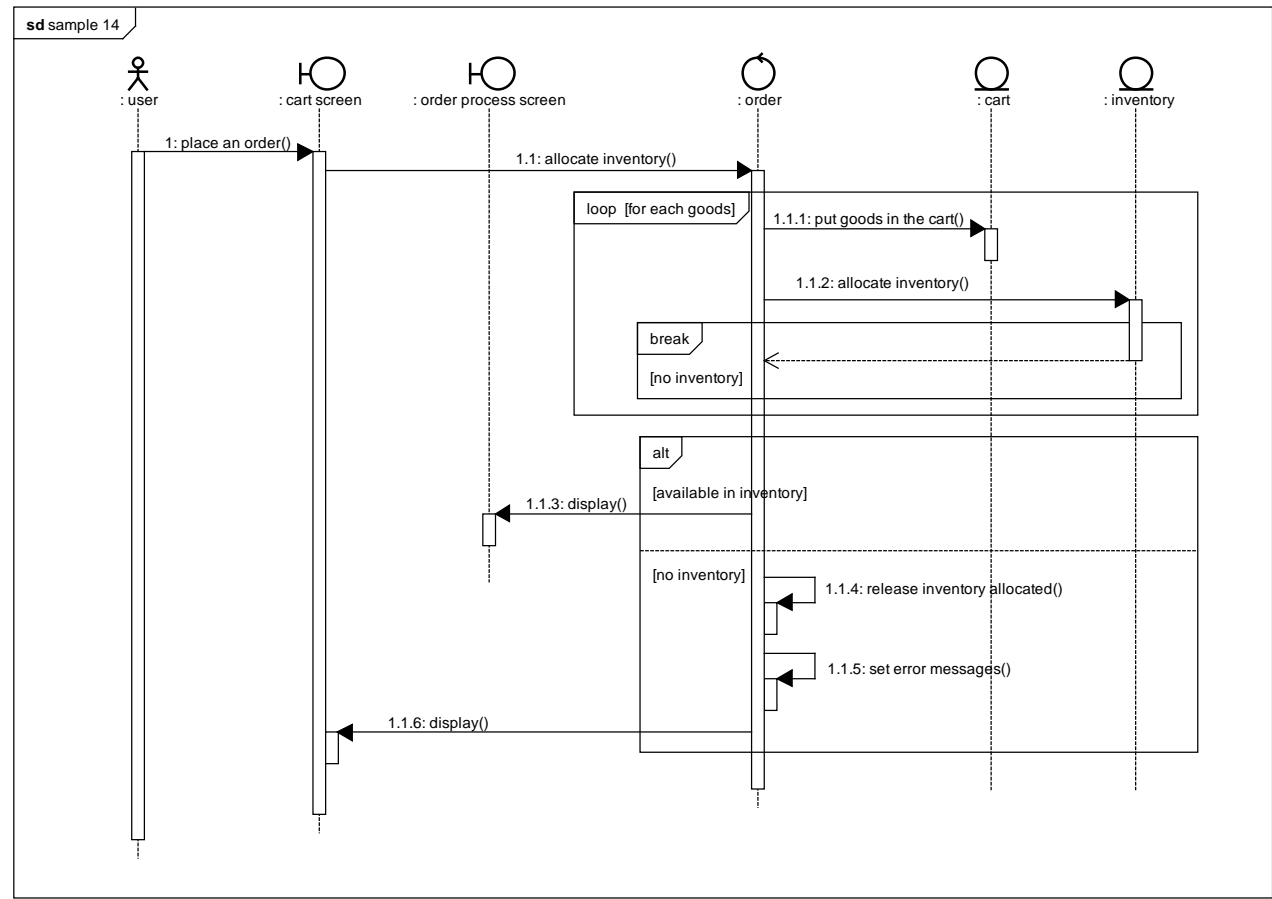
class diagram



state machine diagram (screen transition) ※a part of screen for customers and members



sequence diagram





## Appendix B. Sample outputs

### **(1) Each process output (partial)**

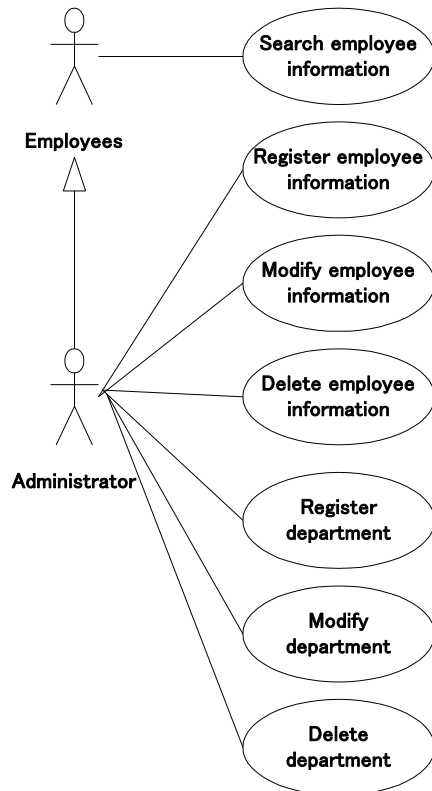
#### ① Requirement definition

Company A is verifying the employee information search system development. If a user enters keywords such as department, age, or address of employees, it displays the employee information. Every employee can use this function.

The company employees are in technical/Technical/administration positions. The company has several departments and the employees belong to departments. Some employees belong to several departments at a time. The administrator registers, modifies, or deletes employee information in the system and registers, modifies, or deletes departments of employees also.

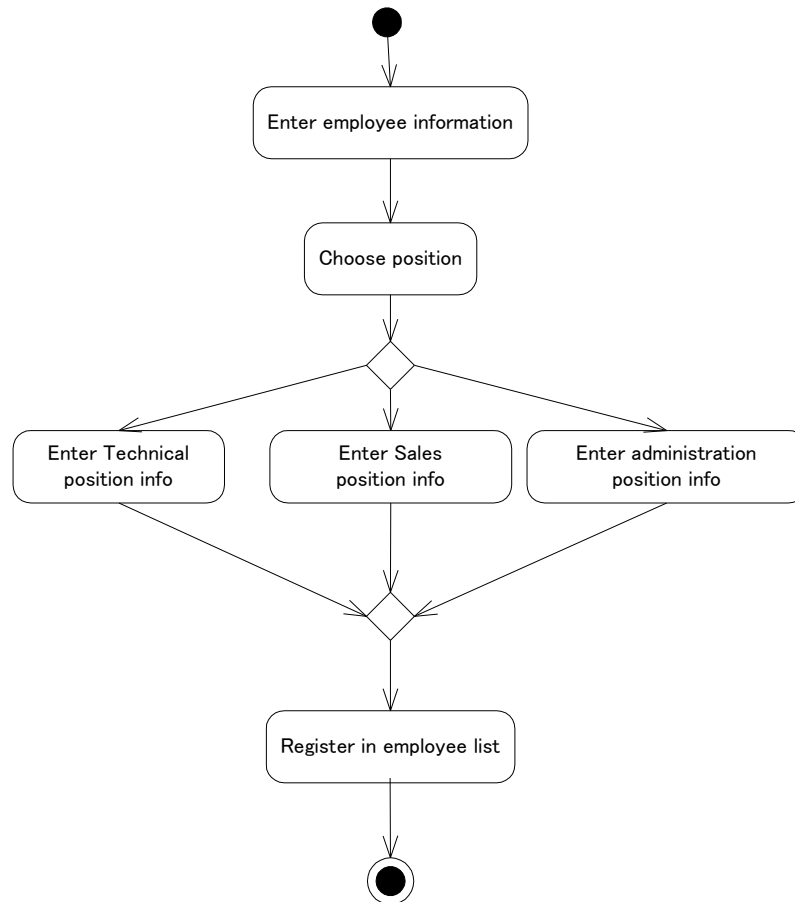
## ② Process: Requirement analysis (Japan ⇒ Offshore Partner)

### ● Use case diagram

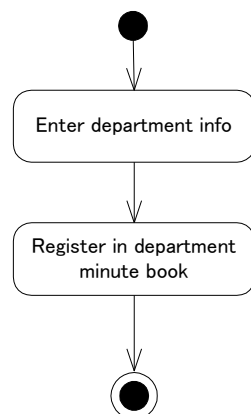


● Activity diagram of use case (event flow)

Activity(event flow) of use case 「Register employee information」



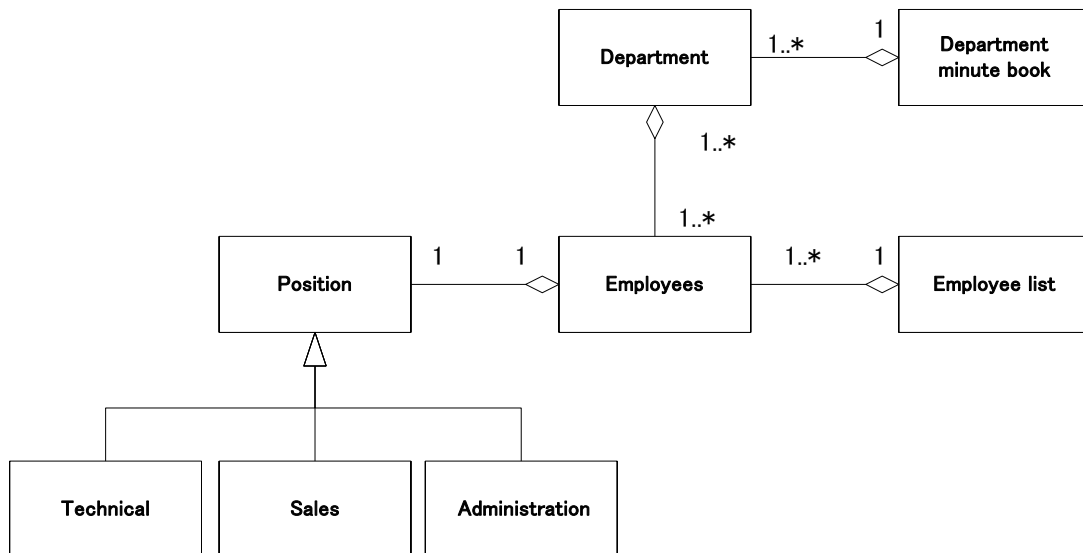
Activity diagram of use case 「Register department」 (event flow)



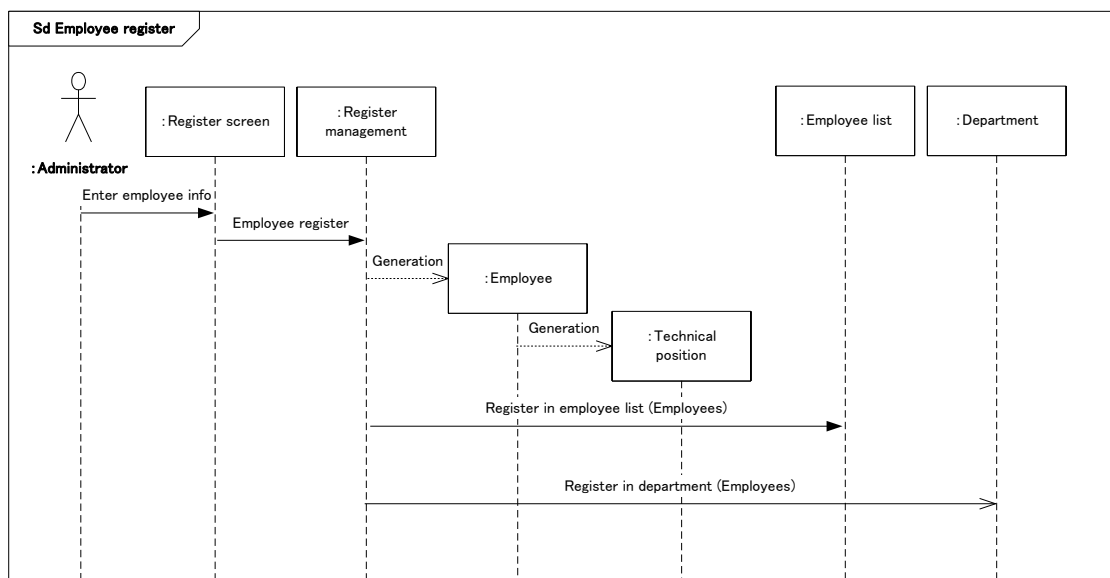
※ Describe activity diagram(event flow) on the other use cases.

### ③ Process: System analysis (Japan ⇒ Offshore Partner)

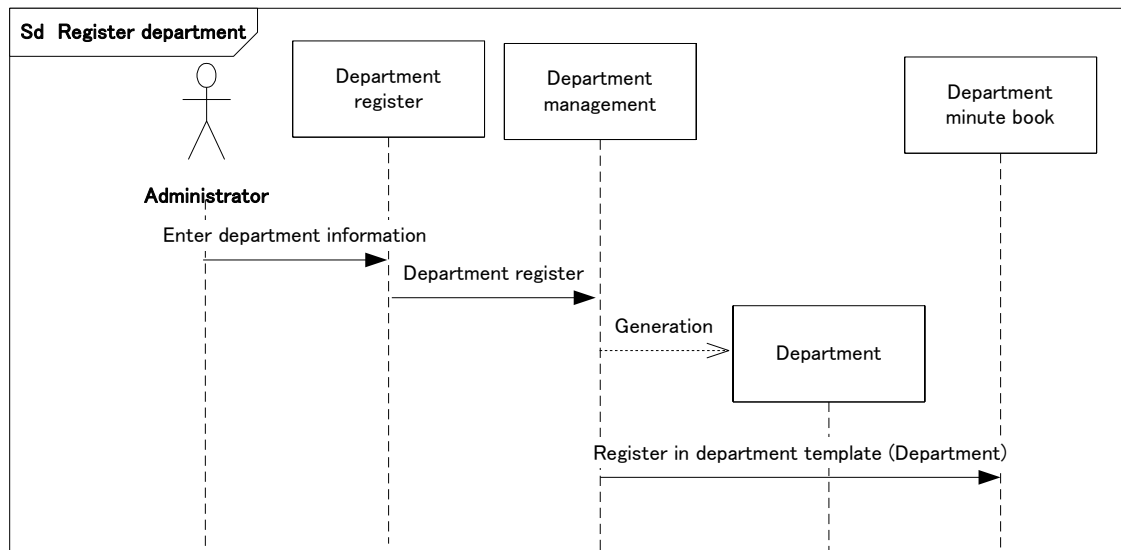
#### ●Class diagram



#### ●「Register employee information」 sequence diagram

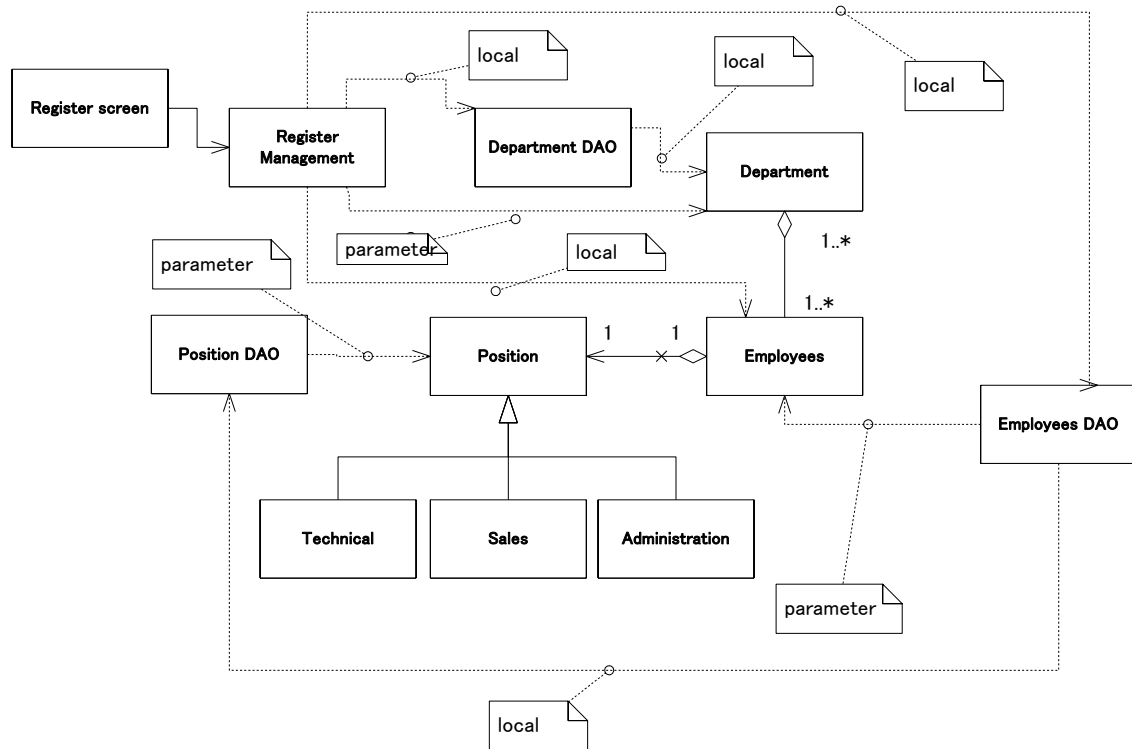


●「Register department」 sequence diagram

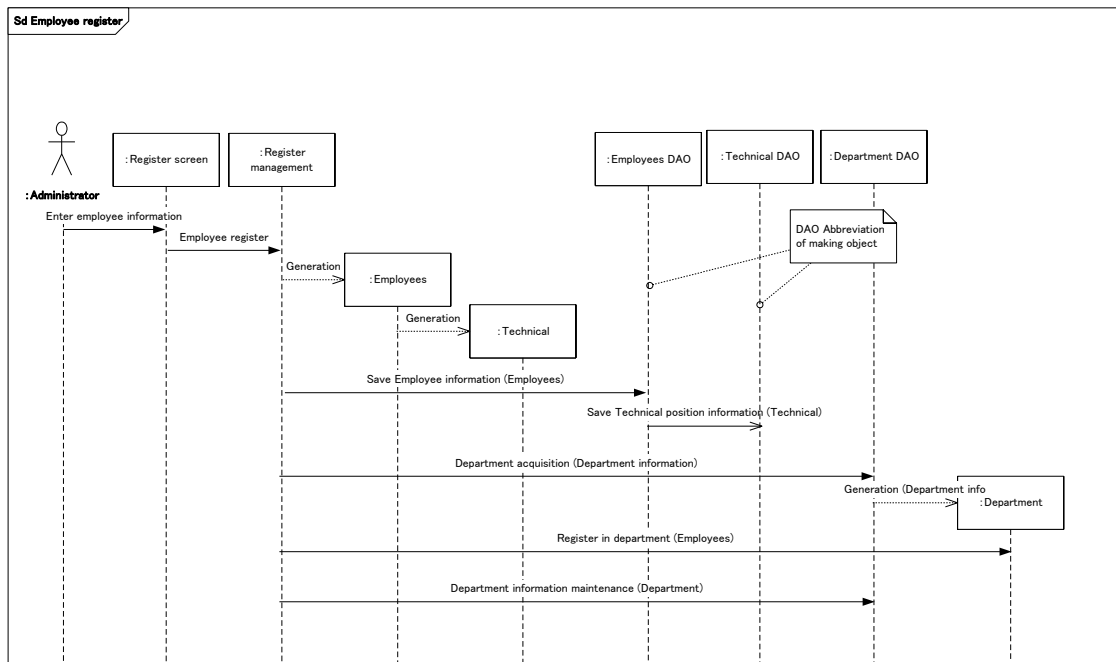


#### ④ Process: Architecture design (Japan ⇒ Offshore Partner)

##### ● Class diagram

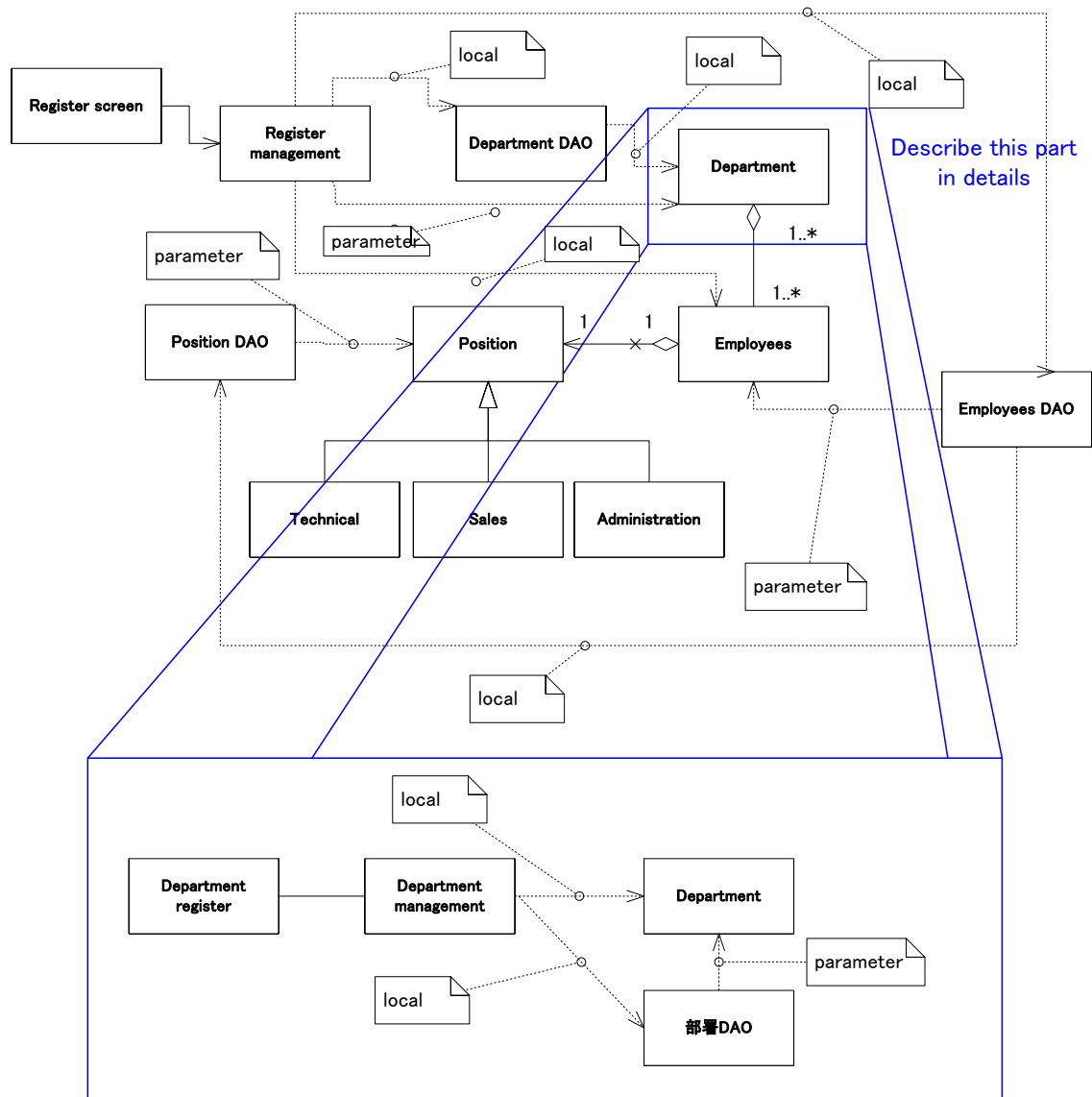


●「Register employee information」 sequence diagram



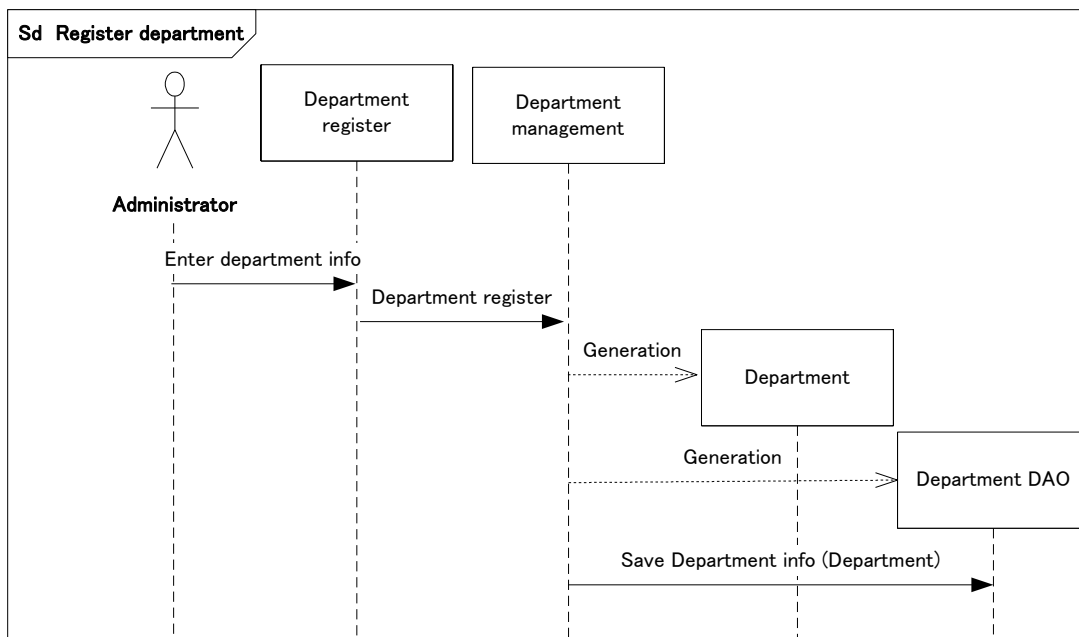
## ⑤Process : Detail design (Offshore Partner ⇒ Japan)

### ● Class diagram





● Sequence diagram



## **(2) Architecture description**

Version 0.1

Contents

Introduction

Architecture notation

Architecture purpose and limitation

Use case view

Logic view

Process view

Deployment view

Implement view

Size and performance

Quality

Introduction

○ Persistent use (use case view and part of logic view)

- Data Access Object (DAO) pattern use

Purpose:

Understand the difference between persistent methods and database by classifying business logic and persistent implementation.

Role of DAO:

DAO is in charge of CRUD of data.

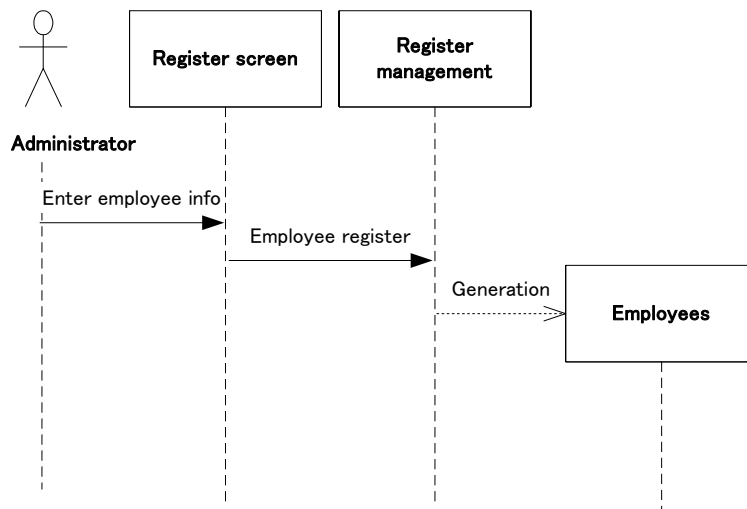
DAO connects to database.

- Example: DAO pattern is introduced for system analysis model by using a simple example (Employee register).

Class diagram of system analysis

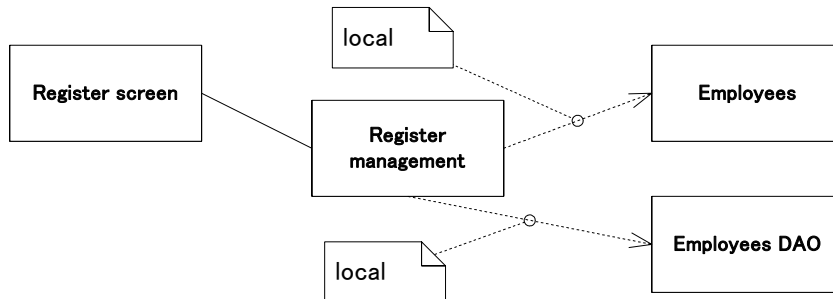


Sequence diagram of system analysis



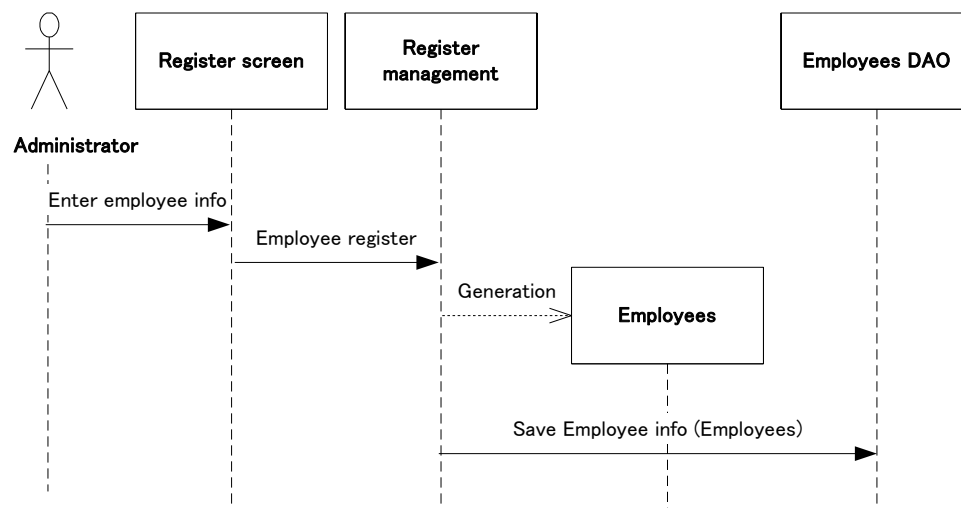
Design model with DAO pattern

Class diagram



※ “Local” means to generate dependency class object among the dependency class operations in dependency relationships.

Sequence diagram



「Employee DAO」class saves and loads 「Employee class」 database.

▪  
▪  
▪

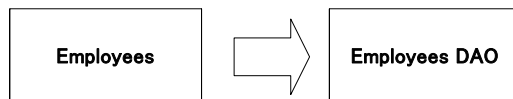
### (3) Detail design guideline (partial)

Design class addition

- Persistent Data Access Object (DAO) pattern

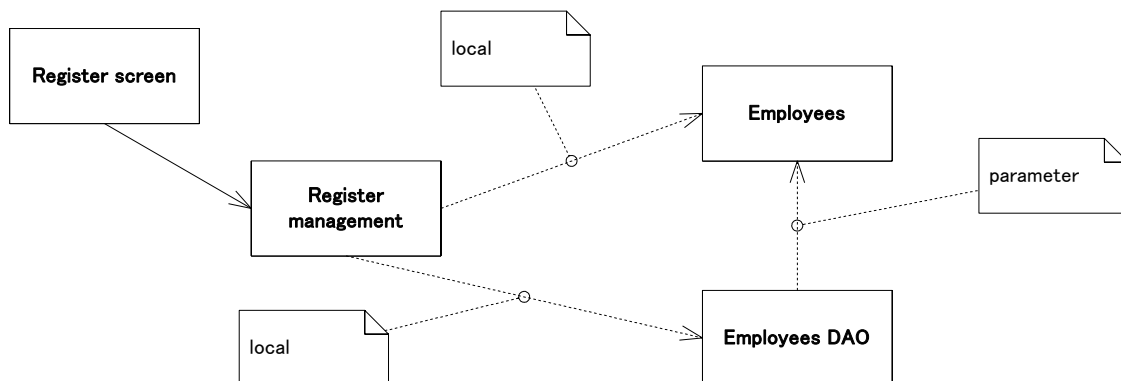
Each DAO class is drawn up for each entity class to be persisted.

DAO class name is entity class name + "DAO".



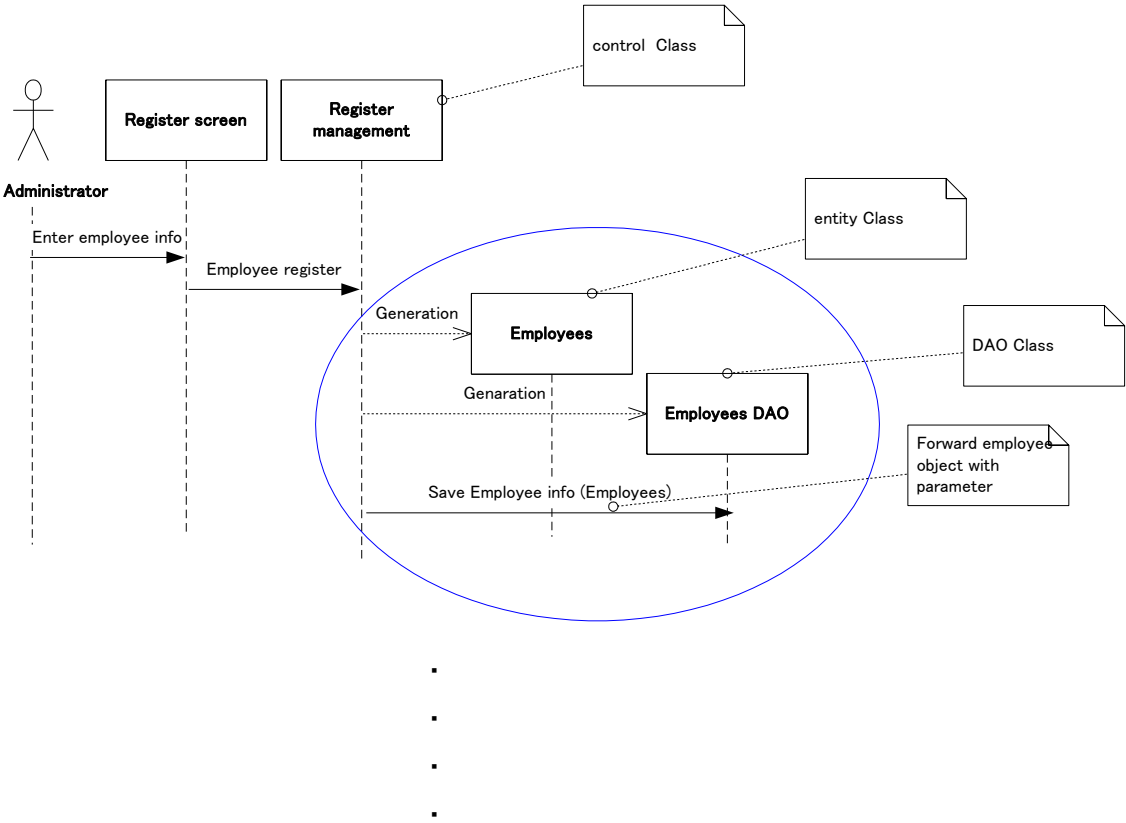
- Class diagram

Display the dependency relationships and enter the comment 「local」 because the control class draws up new DAO class object locally and entity class. The DAO class receives the entity class as a parameter so that the dependency relationships need to be displayed with the comment 'parameter'.



Sequence Diagram

Control class draws up and calls a DAO class object locally and calls it. Entity class is forwarded as a parameter in case of maintenance.



## UML application guideline for offshore development

NPO UMLTP

Offshore Software Development Working Group

### Guideline preparation members

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| Hiroshi Makino 牧野 宏        | Open Resource Corporation   |
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| Shoji Yamaguchi 山口 昭二      | Sakura Information Systems Co., Ltd.                                |
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